

# Fast Interprocedural Class Analysis

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## Abstract

Previous algorithms for interprocedural control flow analysis of higher-order and/or object-oriented languages have been described that perform propagation or constraint satisfaction and take  $O(N^3)$  time (such as Shivers’s 0-CFA and Heintze’s set-based analysis), or unification and take  $O(N\alpha(N,N))$  time (such as Steensgaard’s pointer analysis), or optimistic reachability analysis and take  $O(N)$  time (such as Bacon and Sweeney’s Rapid Type Analysis). We describe a general parameterized analysis framework that integrates propagation-based and unification-based analysis primitives and optimistic reachability analysis, whose instances mimic these existing algorithms as well as several new algorithms taking  $O(N)$ ,  $O(N\alpha(N,N))$ ,  $O(N^2)$ , and  $O(N^2\alpha(N,N))$  time; our  $O(N)$  and  $O(N\alpha(N,N))$  algorithms produce more precise results than the previous algorithms with these complexities. We implemented our algorithm framework in the Vortex optimizing compiler, and we measured the cost and benefit of these interprocedural analysis algorithms in practice on a collection of substantial Cecil and Java programs.

## 1 Introduction

Interprocedural class analysis computes a set of classes for each program variable, such that each run-time value bound to a variable is a direct instance of one of the classes computed for the variable. A program call graph is constructed as a side-effect of this analysis, where the classes associated with the arguments to a dynamically dispatched message send call site determine the set of callee methods that may be invoked by that call site. First-class functions and call sites of computed functions can be analyzed using interprocedural class analysis by treating each definition of a first-class function (e.g., a lambda expression) as a class with a method named `apply`, each evaluation of a first-class function definition as a class instantiation operation, and each application of a first-class function as sending the `apply` message to the function object.

A number of algorithms have been described for performing interprocedural class analysis (perhaps under different names) in object-oriented and higher-order languages. Most algorithms incrementally construct the program’s dataflow graph (either implicitly or explicitly) and propagate sets of classes forward through the dataflow graph, iterating analysis in the face of loops and recursion as new call edges are discovered and new edges are added to the dataflow graph. A classic example of such an algorithm is Shivers’s 0-CFA control flow analysis for Scheme [Shivers 88, Shivers 91], which in the worst case takes  $O(N^3)$  time, where  $N$  is the size of the program. Heintze’s set-based analysis has a similar flavor (and complexity) to 0-CFA [Heintze 94]. Many more-expensive algorithms have been developed that include some degree of context-sensitivity or polyvariance to achieve greater precision [Oxhøj et al. 92, Agesen et al. 93, Plevyak & Chien 94, Stefanescu & Zhou 94, Agesen 95, Jagannathan & Weeks 95, Nielson & Nielson 97], but less-expensive algorithms are relatively rare. Steensgaard describes an  $O(N\alpha(N,N))$  pointer analysis algorithm that partitions the program’s dataflow graph using unification in place of propagation, as in type inference [Steensgaard 96]; Steensgaard’s algorithm was inspired by Henglein’s non-standard type-inference algorithm for higher-order binding-time analysis [Henglein 91]. Bacon and Sweeney describe Rapid Type Analysis (RTA), an  $O(N)$  algorithm for optimistically removing unreachable code, which performs no propagation or unification at all [Bacon & Sweeney 96]. Heintze and McAllester describe a subtransitive version of 0-CFA that requires only  $O(N)$  time, but it applies only to statically typed programs with bounded-size types [Heintze & McAllester 97].

We have developed a general framework for interprocedural class analysis of both statically and dynamically typed programs that integrates propagation and unification. A particular dataflow analysis algorithm instantiates this general framework by specifying when and how to apply unification in place of propagation, and by specifying how many edges are used to connect

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\* An abbreviated version of this technical report will appear in the proceedings of POPL ‘98 [DeFouw et al. 98].

```

Program      ::= {Decl} {Stmt} Expr
Decl         ::= ClassDecl | VarDecl | MethodDecl
ClassDecl    ::= class ClassID isa {ClassID} { {InstVarDecl} }
InstVarDecl ::= instvar InstVarID
VarDecl      ::= var VarID
MethodDecl   ::= method MsgID ( {Formal} ) { {VarDecl} {Stmt}
Expr }
Formal       ::= FormalID @ ClassID
Stmt         ::= LValue := Expr
Expr         ::= LValue | FormalID | NewExpr | SendExpr
NewExpr      ::= new ClassID
SendExpr     ::= send MsgID ( {Expr} )
LValue       ::= VarID | InstVarLValue
InstVarLValue ::= Expr . InstVarID

```

**Figure 1:** Abstract Syntax for Example Object-Oriented Language

call sites to callees. Instantiations of our framework include 0-CFA, Steensgaard-style analysis, and RTA, as well as interesting new algorithms with complexities of  $O(N^2\alpha(N,N))$ ,  $O(N^2)$ ,  $O(N\alpha(N,N))$  (which achieves better precision than Steensgaard-style analysis with the same worst-case cost), and  $O(N)$  (which achieves better precision than RTA with the same worst-case cost). Section 2 describes our general framework and defines and compares several algorithm instantiations.

We have implemented our algorithm framework and several instantiations in the Vortex optimizing compiler [Dean et al. 96]. We analyzed several large Java [Gosling et al. 96] and Cecil [Chambers 93] programs using these instantiations. We measured both the abstract precision and cost of the different algorithms as well as the bottom-line execution speedup and executable space savings. We found that the hypothetical improvements in precision of the new algorithms over RTA and Steensgaard-style analysis did occur in practice; resulting in improvements in bottom-line application performance. Section 3 reports our experimental findings in detail. Section 4 identifies some areas of current and future work, section 5 discusses additional related work, and section 6 concludes.

## 2 Analysis Framework

This section describes the general interprocedural analysis algorithm that allows us to explore a range of fast interprocedural class analyses. The next subsection introduces the example language we use to illustrate our algorithm. Subsection 2.2 describes our dataflow graph representation, subsection 2.3 describes the parameterized analysis algorithm itself, and subsection 2.4 analyzes its complexity. Subsection 2.5 describes the analysis algorithms instantiable from our framework. Subsection 2.6 discusses extensions to make the analysis modular. Subsection 2.7 describes how clients can extract information from the analysis, and examines the complexity of extracting certain kinds of information.

### 2.1 Source Language

Figure 1 shows the abstract syntax of a simple, dynamically typed, object-oriented language that we will use to help explain our framework.\* It includes declarations of global and local mutable variables, classes with mutable instance variables, and multimethods; assignments to global, local, and instance variables; and global, local, formal, and instance variable references, class instantiation operations, and dynamically dispatched message sends.

A multimethod has a list of immutable formals. Each formal is specialized by a class, meaning that the method is only applicable to message sends whose actuals are instances of the corresponding specializing class or its subclasses. We assume the presence of a root class from which all other classes inherit, and specializing on this class allows a formal to apply to all arguments. Multimethods of the same name and number of arguments are related by a partial order, with one multimethod more specific than (i.e., overriding) another if its tuple of specializing classes is more specific than the other (pointwise). When a message is sent, the set of multimethods with the same name and number of arguments is collected, and, of the subset that are applicable to the actuals of the message, the unique most-specific multimethod is selected and invoked (or an error is reported if there is no such method).

\* Terminals are in boldface, and braces enclose items that may be repeated zero or more times, separated by commas.

```

class SuperNode {
  rep:SuperNode;           equivalence-class representative, initially itself
  live_nodes:set of Node;  set of active nodes in supernode, initially a single node
  dead_nodes:set of Node;  set of collapsed nodes in supernode, initially empty
  to_do:bag of ClassID;    bag of classes remaining to be processed by supernode, initially empty
  done :bag of ClassID;    bag of classes that have been processed by supernode, initially empty
}
class Node {
  super:SuperNode;        enclosing supernode
  edges:set of Edge;      set of outgoing edges
  classes:set of ClassID; set of classes processed by this node, initially empty
  counter:int;            number of times node can be processed before collapsing, initially P
}
class Edge {
  source, target:Node;    source and target nodes
  filter:set of ClassID;  filter of classes that can propagate across edge
}
class BarrierEdge subclass of Edge {
  barrier:Barrier;        the barrier of which this edge is a member
  blocked:bag of ClassID; bag of classes blocked at this barrier edge, initially empty
  is_arg:boolean;         whether this is an argument edge that can release the barrier
}
class Barrier {
  edges:list of BarrierEdge; the edges in the barrier
  num_blocked:int;          the number of barrier edges that are still blocked
  method:MethodDecl;       method that is guarded by the barrier
}

```

**Figure 2:** Dataflow Graph Representation

Other realistic language features can be viewed as special versions of these basic features. For example, regular procedures and procedure calls can be modeled with methods none of whose formals are specialized, and literals of a particular class can be modeled with corresponding class instantiation operations (at least as far as class analysis is concerned). As described in the introduction, a first-class lexically nested function can be modeled with a class containing an `apply` method, assuming that some suitable renaming of identifiers has taken place and that local and formal variables in the lexically enclosing method can be referenced from within the `apply` method.

We assume that the number of arguments to a method or message is bounded by a constant independent of program size, and that the static number of all other interesting program features (e.g., classes, methods, call sites, variables, statements, and expressions) is  $O(N)$ .

## 2.2 Dataflow Graph Representation

All the algorithms supported by our framework operate over a dataflow graph, declared in pseudocode in Figure 2. Figures 4 and 5 contain the algorithm for constructing the initial dataflow graph from the program being analyzed.

### 2.2.1 Nodes and Edges

The heart of the dataflow graph representation is a set of nodes (instances of `Node`) linked by a set of directed edges (`Edge`). Each source variable declaration, method declaration, class instantiation operation, and message send in the program has an associated node in the dataflow graph. Interprocedural class analysis computes a set of classes for each node (the `classes` member of `Node`), indicating for the corresponding variable or expression what classes of objects may be stored in the variable or returned by the expression at run time.

Two nodes are connected by a directed edge whenever classes that reach the first node can flow directly to the second node. For example, to model an assignment `target := source`, an edge is added from the node corresponding to `source` to the node corresponding to `target`. An edge may have an associated filter class set (`filter`), which restricts propagation along that edge to only classes contained in the filter set. Filters are used to restrict propagation of classes to a formal argument node of a callee method to those that are subclasses of the argument's specializing class (if given). Filters also can encode constraints ensured by static type declarations or inference, which (given the approximations that fast algorithms need to make) may make the information computed by interprocedural class analysis more precise.

### 2.2.2 Node Merging and Supernodes

A key feature of our framework is the ability to support merging nodes in the dataflow graph to achieve faster analysis. Our framework is parameterized by  $P$ , the maximum number of times a node may be visited during propagation;  $P$  may be any

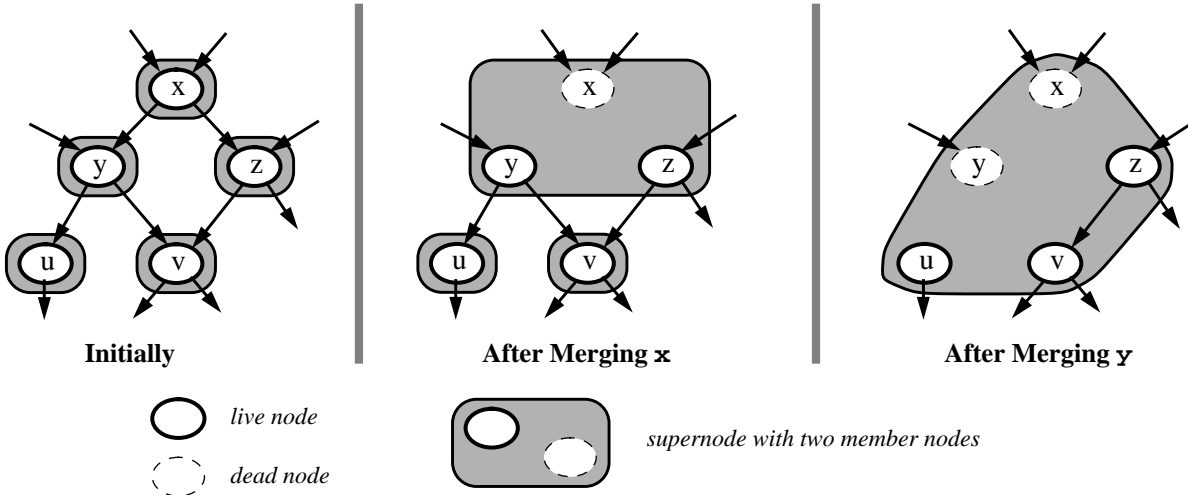


Figure 3: Example of Node Merging

integer value between 0 and  $N$ , inclusive.\* After a node has been visited  $P$  times during analysis, it is merged with each of its successor nodes. Each node records the remaining number of times it may be visited during propagation (`counter`), initialized to  $P$ . If  $P=0$ , then a node cannot be examined at all during propagation, causing nodes to be merged eagerly as connecting edges are inserted.

We introduce supernodes to represent the set of nodes that have been merged together (`SuperNode`). Supernodes partition the nodes of the graph. Initially, each node has its own unique supernode. Merging a node with its successor nodes is implemented using supernodes by unifying the supernodes corresponding to the node and its successor nodes, putting all the nodes together as members of the new unified supernode, and then “collapsing” the original node out of the dataflow graph by moving it to a separate inactive list in the unified supernode; Figure 3 illustrates merging nodes. Later, when a class is propagated to any member of the unified supernode, it is immediately forwarded to all of the active members of the supernode, skipping the inactive members, ensuring that inactive nodes never incur additional work. We use fast union-find data structures [Tarjan 75] to support quickly unifying two arbitrary supernodes and (lazily) updating all the member nodes to refer to the new unified supernode (in  $O(U\alpha(U,U)+F)$  time for  $U$  unifications and  $F$  find-representative updates). To achieve unification and update in only  $O(U+F)$  time, our framework allows algorithms to choose to always unify supernodes with a distinguished global supernode; our framework’s `MergeWithGlobal` parameter flag selects this asymptotically faster though less precise behavior.†

Each supernode data structure refers (perhaps indirectly) to the supernode representing the unified supernode (`rep`). The representative supernode records up-to-date lists of active (`live_nodes`) and merged (`dead_nodes`) member nodes, and conversely each node refers to its containing supernode (`super`) (from which the representative supernode can be found). (The `to_do` and `done` fields of a supernode are temporary state maintained during analysis.)

### 2.2.3 Optimistic Elimination of Unreachable Classes and Procedures

Our framework optimistically prunes unreachable classes and procedures, in the style of RTA [Bacon & Sweeney 96]. A method becomes reachable (and its body added to the dataflow graph) only when, for each class  $C$  on which one of the method’s formals are specialized, a class instantiation operation for  $C$  or a subclass of  $C$  has been seen in code already known to be reachable. Several mechanisms are used in our dataflow graph representation to support optimistic pruning of unreachable code:

- A global set of reachable classes (`live_classes`) is updated as class instantiation operations are processed (`MakeClassReachable`). Whenever a class becomes reachable, all of its superclasses are considered reachable.
- When connecting the node for an actual parameter at a call site to the corresponding formal parameter of a callee (in `RecordCallSite`), only if the formal parameter’s specialization class is reachable is the connection made. If not reachable,

\* By  $N$  here we mean some value that is  $O(N)$  but bigger than the number of classes in the program.

† We include the `MergeWithGlobal` option mostly to simulate previous algorithms such as RTA.

```

P:int;
MergeWithGlobal:bool;
MergeCalls:bool;
nodes:set of Node;
supernodes:set of SuperNode;
global:SuperNode;
ConstructDataflowGraph() {
    make the global node and supernode:
    global_node:Node := MakeNode();
    global := global_node.super;
    create nodes for global variables, instance variables, method formals, and method results:
    foreach ast:(VarDecl ∪ InstVarDecl ∪ MethodDecl ∪ Formal) in top-level decls do
        n:Node := MakeNode();
        ast.corresponding_node := n;
    create top-level statement and expression nodes and edges:
    CreateNodesAndEdges({}, top-level stmts, top-level expr);
}
CreateNodesAndEdges(vars:list of VarDecl, stmts:list of Stmt, expr:Expr) {
    make the nodes:
    foreach ast:(VarDecl ∪ NewExpr ∪ SendExpr) in vars ∪ stmts ∪ expr do
        ast.corresponding_node := MakeNode();
    add assignment edges:
    foreach stmt:Stmt = [[lv := e]] in stmts do
        source:Node := CorrespondingNode(e);
        target:Node := CorrespondingNode(lv);
        MakeEdge(source, target);
    construct call edges:
    foreach send:SendExpr = [[send msg(e1, ..., en)]] in stmts ∪ expr do
        foreach i in [1..n] do
            actuali:Node := CorrespondingNode(ei);
            node:Node := CorrespondingNode(send);
            LinkSend(msg, n, [actual1, ..., actualn], node);
    update worklist and reachable classes from class instantiation nodes:
    foreach new:NewExpr = [[new c]] in stmts ∪ expr do
        n:Node := CorrespondingNode(new);
        AddToWorklist(n, c);
        MakeClassReachable(c);
}
CreateMethodNodesAndEdges(method:MethodDecl = [[method msg(...) {vars stmts expr}]] {
    if method has not been created yet then
        CreateNodesAndEdges(vars, stmts, expr);
}
MakeNode()→Node {
    n:Node := new Node;
    s:SuperNode := new SuperNode;
    n.super := s; n.edges := {}; n.classes := {}; n.counter := P;
    s.rep := s; s.live_nodes := {n}; s.dead_nodes := {}; s.to_do := {}; s.done := {};
    add n to nodes; add s to supernodes;
    return n;
}
MakeEdge(source, target:Node) {
    e:Edge := new Edge;
    InitEdge(e, source, target);
    InstallEdge(e);
}
InitEdge(e:Edge, source, target:Node) {
    e.source := source; e.target := target;
    e.filter := FilterFor(source) ∩ FilterFor(target);
}
InstallEdge(e:Edge) {
    add e to e.source.edges;
    if e.source.counter = 0 then
        CollapseNode(e.source);
}
MakeBarrierEdge(source,target:Node, is_arg:bool, b:Barrier)→BarrierEdge {
    e:BarrierEdge := new BarrierEdge;
    InitEdge(e, source, target);
    e.is_arg := is_arg; e.barrier := b; e.blocked := {};
    add e to b.edges;
    return e;
}
MakeBarrier(n:int, m:MethodDecl)→Barrier {
    b:Barrier := new Barrier;
    b.edges := {}; b.num_blocked := n; b.method := m;
    return b;
}

```

**Figure 4:** Dataflow Graph Construction Algorithm, Part 1

```

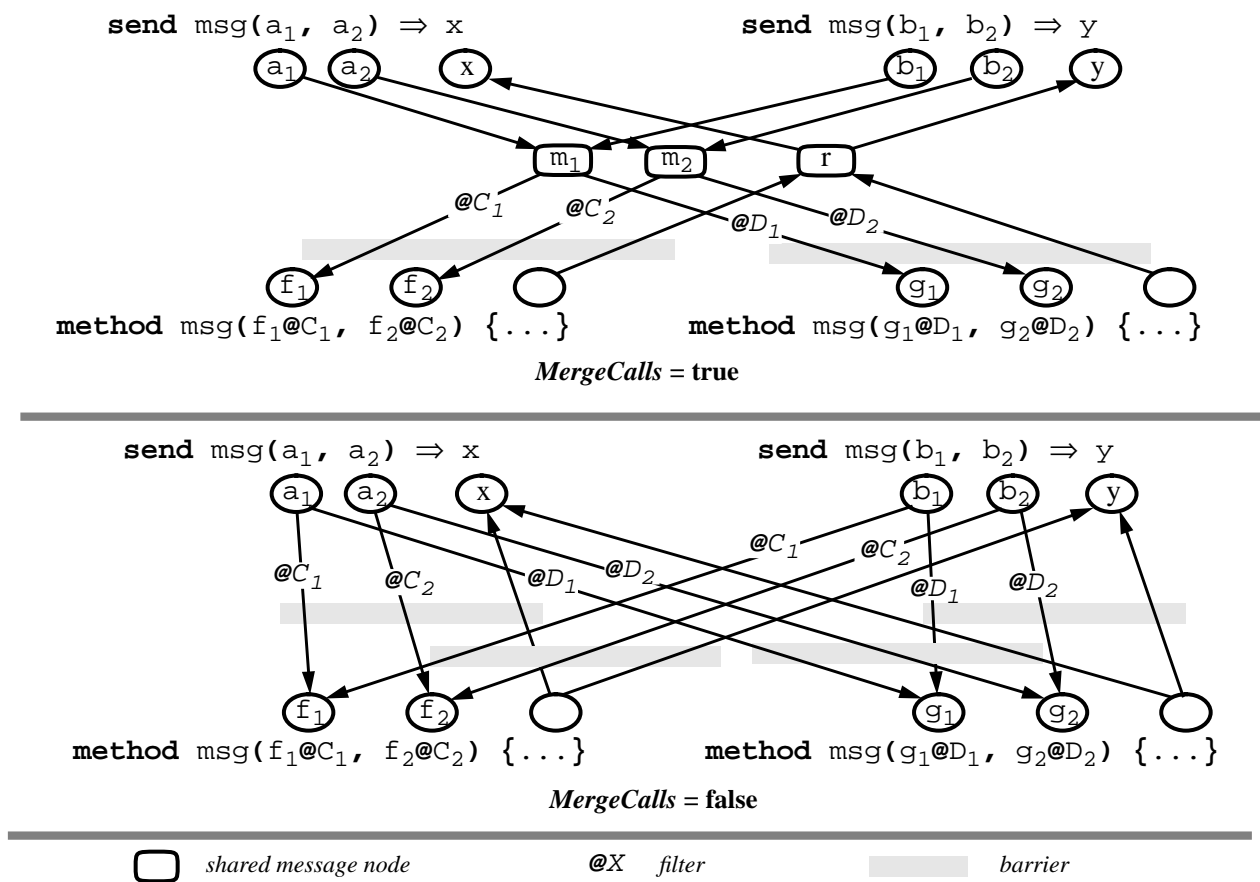
FilterFor(n:Node)→set of ClassID {
  if n created from f in Formal = [[v @ c]] then
    return set of c and its subclasses;
  if n created from VarDecl or InstVarDecl or Method and
    decl has static type T then
    return set of all classes that conform to T;
  return set of all classes;
}
CorrespondingNode(e:Expr)→Node {
  if e in VarID = [[varID]] then
    return CorrespondingVarDecl(varID).corresponding_node;
  if e in FormalID = [[formalID]] then
    return CorrespondingFormal(formalID).corresponding_node;
  if e in InstVarLValue = [[e' . instVarID]] then
    return CorrespondingInstVarDecl(instVarID).corresponding_node;
  return e.corresponding_node;
}
LinkSend(msg:MsgID, n:int, [actual1:Node, ..., actualn:Node], result:Node) {
  if MergeCalls then
    ([msg_formal1:Node, ..., msg_formaln:Node], msg_result:Node) :=
      MakeSharedMessageNodes(msg, n);
    foreach i in [1..n] do
      MakeEdge(actuali, msg_formali);
    MakeEdge(msg_result, node);
  else
    RecordCallSite(msg, n, [actual1, ..., actualn], result);
}
Table mapping message keys to shared message formal and result nodes, only for MergeCalls:
shared_message_nodes:(MsgID,n:int)→([Node1, ..., Noden], Node);
MakeSharedMessageNodes(msg:MsgID, n:int)→([Node1, ..., Noden], Node) {
  if shared_message_nodes(msg,n) not defined then
    foreach i in [1..n] do
      formali:MsgNode := MakeNode();
      result:Node := MakeNode();
      RecordCallSite(msg, n, [formal1, ..., formaln], result);
      shared_message_nodes(msg,n) := ([formal1, ..., formaln], result);
  return shared_message_nodes(msg,n);
}
RecordCallSite(msg:MsgID, n:int, [actual1:Node, ..., actualn:Node], result:Node) {
  go through all the method declarations that this could map to, and create barrier links from call site to callee:
  foreach method:MethodDecl = [[method msg'(f1@c1, ..., fn@cn) {...}]]
    where msg' = msg and n' = n do
      create a tuple of barrier edges linked together in a barrier:
      barrier:Barrier := MakeBarrier(n, method);
      foreach i in [1..n] do
        formali:Node := CorrespondingNode(fi);
        formal_edgei:BarrierEdge := MakeBarrierEdge(actuali, formali, true, barrier);
      method_result:Node := CorrespondingNode(method);
      result_edge:BarrierEdge := MakeBarrierEdge(method_result, result, false, barrier);
      link barrier edges into graph:
      foreach i in [1..n] do
        if ci in live_classes then
          add to call site now:
          InstallEdge(formal_edgei);
        else
          record for later processing:
          add formal_edgei to delayed_edges(ci);
      InstallEdge(result_edge);
}
live_classes:bitset of ClassID; set of classes live in program
delayed_edges:ClassID→bag of BarrierEdge; table mapping classes to lists of delayed edges
MakeClassReachable(c:ClassID) {
  if c ∉ live_classes then
    add c to live_classes;
    foreach edge:BarrierEdge in delayed_edges(c) do
      InstallEdge(edge);
    foreach c':ClassID in superclasses of c do
      MakeClassReachable(c');
}

```

**Figure 5:** Dataflow Graph Construction Algorithm, Part 2

then the edge is saved on a separate list indexed by the specialized class (`delayed_edges`) to be entered into the dataflow graph when the specialized class becomes reachable (`MakeClassReachable`).

- A method specialized on reachable classes is reachable from a particular call site only if each of the actual-to-formal argument edges for that call site has a non-empty set of classes that pass through the edge's filter. To block the flow of classes through any of a call site's argument edges (and through the reverse result edge) until all the argument edges have non-empty sets of classes flowing successfully through them, we link the argument and result edges into a barrier



**Figure 6:** Example of Shared and Unshared Message Send Linkages

(Barrier). A barrier records all the edges in the barrier (edges), the method that it guards (method), and a count of the number of members of the barrier that are still empty (num\_blocked), initialized to the number of arguments of the method. Each time an argument edge in the barrier becomes non-empty, the barrier’s blocked count is decremented. When it reaches zero, the barrier is broken and classes freely pass through the edge. A special kind of edge (BarrierEdge) is used for edges in barriers. A barrier edge knows which barrier it is a member of (barrier), and, until the barrier is broken, queues up each class that flows through the edge on a list (blocked) without forwarding it to the edge’s target node. A flag (is\_arg) distinguishes barrier edges that may be waited upon to become non-empty (the argument edges) from those that simply are blocked by the emptiness of other edges (the result edges).

### 2.2.4 Message Send Linkage

Our framework supports two approaches to connecting call sites to callee methods. If the parameter flag *MergeCalls* is false, then each actual parameter at each call site is linked to the corresponding formals of all methods with the same name and number of arguments as the call site, and the reverse for message results, leading to  $O(N^2)$  edges in the dataflow graph. If *MergeCalls* is true, an intermediate tuple of nodes is created for each distinct message name and number of arguments (shared\_message\_nodes), one node per argument and result of the message. Actuals at call sites are linked to the corresponding intermediate message formals, which in turn are linked to the corresponding formals of the possible methods with matching name and number of arguments, and the reverse for message results, leading to only  $O(N)$  edges in the graph. Figure 6 illustrates these two situations.

### 2.3 Parameterized Analysis Algorithm

Pseudocode for our general algorithm for interprocedural class analysis appears in Figures 7 and 8. The core of the algorithm performs propagation of classes through the dataflow graph. During the propagation phase, each supernode maintains an associated bag of classes that have reached the supernode but have not yet been processed by the supernode (to\_do), as well



```

worklist:set of SuperNode;           the set of supernodes that have non-empty to-do lists
PerformInterproceduralClassAnalysis() {
    worklist := {};
    ConstructDataflowGraph();
    ProcessWorklist();
}
ProcessWorklist() {
    while worklist non-empty do
        pop s:SuperNode off worklist;
        ProcessSuperNode(s);
}
ProcessSuperNode(s:SuperNode) {
    while FindRep(s).to_do non-empty do
        remove c:ClassID from FindRep(s).to_do;
        add c to FindRep(s).done;
        foreach n:Node in FindRep(s).live_nodes do
            ProcessNode(n, c);
        foreach n:Node in FindRep(s).live_nodes.copy do
            if n.counter = 0 then
                CollapseNode(n);
}
ProcessNode(n:Node, c:ClassID) {
    if c ∉ n.classes then
        add c to n.classes;
        foreach e:Edge in n.edges do
            ProcessEdge(e, c);
    decrement n.counter;
}
ProcessEdge(e:Edge, c:ClassID) {
    if c ∈ e.filter then
        if e is a BarrierEdge then
            ProcessBarrierEdge(e, c)
        else
            AddToWorklist(e.target, c);
}
ProcessBarrierEdge(e:BarrierEdge, c:ClassID) {
    if e.blocked is empty then
        UnblockBarrierEdge(e);
    if e.barrier.num_blocked = 0 then
        AddToWorklist(e.target, c);
    else
        add c to e.blocked;
}
UnblockBarrierEdge(e:BarrierEdge) {
    if e.barrier.num_blocked > 0 and e.is_arg then
        decrement e.barrier.num_blocked;
    if e.barrier.num_blocked = 0 then
        ReleaseBarrier(e.barrier);
}
ReleaseBarrier(b:Barrier) {
    CreateMethodNodesAndEdges(b.method);
    foreach e:BarrierEdge in b.edges do
        foreach c:ClassID in e.blocked do
            AddToWorklist(e.target, c);
}

```

**Figure 7:** Interprocedural Class Analysis Algorithm, Part 1

as a bag of classes that have been processed by the supernode (done); at the end of analysis, the processed classes are used to determine the final set of classes associated with all nodes in that supernode. Whenever a class instantiation node is created, the instantiated class is added to the to-do list of the node's supernode.

A global worklist is maintained holding all supernodes with non-empty to-do lists (*worklist*). Our algorithm starts by constructing the nodes and edges of the top-level variable declarations, statements, and expressions in the program (*ConstructDataflowGraph*), which adds supernodes to the worklist for all the top-level class instantiation expressions. The main loop of the propagation phase (*ProcessWorklist*) removes a supernode from the worklist and processes it. The propagation phase ends when the worklist is empty (and hence all supernodes have empty to-do lists).

To process a supernode (*ProcessSuperNode*), each of the classes on its to-do list are removed one-by-one, saved on the done list, and forwarded to each of the unmerged member nodes for processing. To process a class at a member node (*ProcessNode*), if the class has not been seen at that node before, then it is propagated along to each outgoing edge of the node, and its counter of allowable future visits is decremented. To propagate a class along an edge (*ProcessEdge*), if the

```

AddToWorklist(n:Node, c:ClassID) {
  if FindRep(n.super).to_do is empty then
    add FindRep(n.super) to worklist;
  add c to FindRep(n.super).to_do;
}
CollapseNode(n:Node) {
  if MergeWithGlobal then
    MergeSuperNodes(global, FindRep(n.super));
  foreach e:Edge in n.edges do
    CollapseEdge(e);
  remove n from FindRep(n.super).live_nodes;
  add n to FindRep(n.super).dead_nodes;
}
CollapseEdge(e:Edge) {
  if e is a BarrierEdge then
    CollapseBarrierEdge(e);
  MergeSuperNodes(FindRep(e.source.super), FindRep(e.target.super));
}
CollapseBarrierEdge(e:BarrierEdge) {
  if e.barrier.num_blocked > 0 and e.is_arg then
    decrement e.barrier.num_blocked;
  if e.barrier.num_blocked = 0 then
    ReleaseBarrier(e.barrier);
  else
    remove this edge from barrier
    CreateMethodNodesAndEdges(e.barrier.method);
    foreach c:ClassID in e.blocked do
      AddToWorklist(e.target, c);
    remove e from e.barrier.edges;
}
MergeSuperNodes(s1, s2:SuperNode) {
  if s1 ≠ s2 then
    rep:SuperNode := Union(s1, s2);
    rep.live_nodes := s1.live_nodes ∪ s2.live_nodes;
    rep.dead_nodes := s1.dead_nodes ∪ s2.dead_nodes;
    rep.to_do := s1.to_do ∪ s2.to_do;
    rep.done := s1.done ∪ s2.done;
    s1.rep := rep; s2.rep := rep;
    if s1 = rep then remove s2 from supernodes;
    else remove s1 from supernodes;
}
Fast union-find data structure operations:
FindRep(s:SuperNode)→SuperNode {
  find and return the representative of the union, caching results for amortized O(α(N,N)) cost:
  if s.rep ≠ s then s.rep = FindRep(s.rep);
  return s.rep;
}
Union(s1, s2:SuperNode)→SuperNode {
  pick and return one of s1 or s2 to elect as the representative of the union; if either is global then choose it
}

```

**Figure 8:** Interprocedural Class Analysis Algorithm, Part 2

class passes the edge’s filter, then, if the edge is not a barrier edge, the propagated class is added to the to-do list of the target node’s supernode (AddToWorklist) which may cause the target supernode to be added to the worklist.

If the edge is a barrier edge, then there are several steps to perform (ProcessBarrierEdge). First, if this edge is an argument edge that is part of a blocked barrier, and this is the first class to reach this edge, then the barrier’s blocked count is decremented (UnblockBarrierEdge). If this edge was the last edge blocking the barrier, then the barrier is broken (creating the guarded method’s dataflow graph if it hasn’t been created already), and all suspended classes on all edges in the barrier are released and propagated to their target supernodes (ReleaseBarrier). After the effect on the barrier of a class passing the edge’s filter has been computed, the class is either saved on the edge’s suspended classes list (if the barrier is still blocked), or propagated through the barrier to the target supernode (if the barrier is broken).

If  $P < N$ , then a node’s counter may reach zero, at which point it will be merged with its successor edges. After passing a class off the to-do list to a supernode’s unmerged member nodes (in ProcessSuperNode), if a node’s counter has dropped to zero, the node is merged with its successors (CollapseNode). To do this, the node’s supernode is merged with the supernodes of each of the node’s successor nodes (CollapseEdge), and then the node is moved from the supernode’s list of unmerged members to the list of merged members, ensuring that the node will never again be examined during propagation. Merging two supernodes (MergeSuperNodes) selects one supernode to be the representative of the union (using the fast union-find algorithm) and combines the two supernodes’ member node, to-do, and done lists. Some algorithms perform a simpler,

asymptotically faster merging of supernodes, where all merging supernodes are first merged with the global supernode; the parameter flag *MergeWithGlobal* selects this behavior. If a blocked barrier edge is collapsed, that edge becomes unblocked.

## 2.4 Complexity Analysis

The main components of cost in our algorithm are constructing the dataflow graph (lazily), propagating classes through the dataflow graph, and merging supernodes.

### 2.4.1 Core Data Structures

Before examining the complexity of the main components of the algorithm, we list our assumptions about the properties of its core data structures:

- The sets of classes `SuperNode.live_nodes` and `SuperNode.dead_nodes` support constant-time initialization, set union, element addition, and element removal. To support these operations, our implementation exploits the invariant that at each step in the algorithm every instance of the `SuperNode` class is a member of at most one `live_node` or `dead_node` set. Thus, these sets can be represented by linking `SuperNodes` together in doubly linked lists.
- The bags of classes `SuperNode.to_do`, `SuperNode.done`, and `BarrierEdge.blocked` support constant-time initialization, union (ignoring duplicates), and element addition. Similarly, the bags of edges `Node.edges` and `Barrier.edges` support constant-time initialization and element addition. Our implementation uses singly linked, circular lists to represent bags.
- The set of classes `Node.classes` supports constant-time initialization, membership testing, and element addition. Depending on the value of  $P$ , our implementation uses one of two representations: if  $P$  is  $O(1)$  list sets are used, while if  $P$  is  $O(N)$  bit sets are used. A list set can be initialized in constant time, and it supports constant-time membership testing and element addition if the maximum size of the set is bounded by a constant. A bit set supports constant-time membership testing and element addition, but requires  $O(N)$  time to initialize.
- The filter `Edge.filter` can be initialized in constant time and supports constant-time membership testing. The filter can be represented as a procedure to perform the subclass testing, for which there are several constant-time algorithms [AK et al. 89].

### 2.4.2 Dataflow Graph Construction

In the worst case, all classes and methods in the original program will be reachable, implying that  $O(N)$  ASTs must be represented in the dataflow graph. Each kind of AST node contributes  $O(1)$  nodes to the dataflow graph. With the exception of `SendExpr`, each kind of AST also contributes  $O(1)$  edges. Let  $M$  (defined below) be an upper bound on the number of edges contributed by a single `SendExpr` AST. Then the dataflow graph contains  $O(N)$  nodes and  $O(N+N\cdot M)$  edges. Each edge in the dataflow graph can be initialized in constant time (each edge has one filter, participates in at most one barrier, and is added to one node's bag of edges). Depending on the value of  $P$ , each node takes either  $O(1)$  or  $O(N)$  time to initialize. Thus the total time to construct the dataflow graph is  $O(N\cdot M)$  if  $P$  is  $O(1)$  and  $O(N^2+N\cdot M)$  if  $P$  is  $O(N)$ .

The value of  $M$  is either  $O(1)$  or  $O(N)$  depending on the value of *MergeCalls*:\*

- If *MergeCalls* is true, then an intermediate tuple of nodes (one tuple per message name) is inserted between callers and callees. Exactly one edge per actual parameter is added between a `SendExpr` and the corresponding node in the intermediate tuple. Similarly, the return value of the call is represented by adding one edge from the tuple's return value to the `SendExpr`. In addition, the intermediate tuples introduce edges connecting intermediate nodes to method formal parameters and returns; each formal parameter and method return will have exactly one such edge. These additional  $O(N)$  edges are can be amortized over the  $O(N)$  `SendExprs` in the program, thus  $M$  is  $O(1)$ . This results in a total of  $O(N)$  edges in the dataflow graph.
- If *MergeCalls* is false, then each `SendExpr` may be directly connected to  $O(N)$  target methods, causing  $M$  to be  $O(N)$ . This results in a total of  $O(N^2)$  edges in the dataflow graph.

Figure 6 illustrated these two cases.

---

\* We assume that the maximum number of actual parameters at a call site and the maximum number of formal parameters in a method declaration is a constant independent of program size.

To support lazy construction of the program dataflow graph, additional overhead is incurred to track `live_classes` and `delayed_edges`. Since a class can only become reachable once, this overhead takes  $O(N+N\cdot M)$  time.

### 2.4.3 Propagation

If there is no `SuperNode` merging, the core unit of work in the propagation phase can be viewed from the perspective of a class flowing across an edge: start with a class that is new to the edge’s source node (at the call to `ProcessEdge` in `ProcessNode`), and attempt to propagate it through the edge’s filter. If it passes the filter, check if this is a blocked barrier edge, and if so suspend the class at the barrier edge, later to be released when the barrier is broken. Finally, add the class to the target supernode’s to-do list, later remove it from the target supernode’s to-do list, and then test whether it is new to the supernode’s one target node (ending at the same loop of calls to `ProcessEdge`). Each of these steps takes constant time. By ensuring that each class is processed across an edge at most once (by maintaining `Node.classes`), the total amount of time for this edge propagation is  $O(E\cdot C)$ , where  $E$  is the number of edges and  $C$  is the number of classes.  $C$  is proportional to the program size ( $N$ ), and  $E$  is  $O(N\cdot M)$  as determined above (either  $O(N)$  or  $O(N^2)$ , depending on the value of `MergeCalls`), leading to a total cost for edge propagation of  $O(N^2\cdot M)$  time. The time to visit each supernode on the worklist and start the edge propagation process is  $O(N)$ , leading to an overall time for propagation of  $O(N^2\cdot M)$ .

### 2.4.4 Unification

If  $P < N$ , then some nodes may be collapsed during propagation or graph construction. This affects the complexity of analysis in three ways: the number of times a node (and consequently its successor edges) may be visited is reduced from  $N$  to  $P$ , additional work to collapse nodes is incurred, and the calls to `FindRep` may take more time due to collapsing.

- Instead of using the `Node.classes` set to bound the number of times a node is visited by the number of classes ( $O(N)$ ), node collapsing bounds the number of times a node is visited by  $P$ . Under this model, the constant-time unit of work sequence is slightly shifted, since now multiple nodes may be in a supernode: start with considering a member node of a supernode for a particular class at the call to `ProcessNode` inside `ProcessSuperNode`, then follow the class flowing through the node and an edge through to being added and then later removed from a supernode’s to-do list, ending at the same loop of calls to `ProcessNode`. Each of these constant-time units of work may only be done  $P$  times per edge. Using  $P$  in place of one  $N$  in the time for edge propagation gives a more general complexity assessment of  $O(P\cdot N\cdot M)$ .
- Each call to `MergeSuperNodes` and `CollapseEdge` takes constant time, and each call to `CollapseNode` takes constant time ignoring the per-edge work subsumed by `CollapseEdge`, leading to an overall cost for node collapsing of  $O(N\cdot M)$  time.
- If `MergeWithGlobal` is false, then the calls to `FindRep` can now take more than constant time, but overall, given a fast union-find data structure implementation of supernodes, the additional cost for all of the `FindRep` calls is  $O(N\alpha(N,N))$ . If `MergeWithGlobal` is true, however, all of the supernodes merge directly with the global supernode, preserving the constant-time behavior of `FindRep`.

### 2.4.5 Summary

Overall, the complexity of the entire graph construction and propagation phase is thus  $O(P\cdot N\cdot M + N\cdot M)$ , plus  $O(N\cdot M\alpha(N,N))$  if  $P < N$  and `MergeWithGlobal` is false. By setting  $P$  to some constant, new algorithms with worst-case time complexities of  $O(N)$ ,  $O(N^2)$ ,  $O(N\alpha(N,N))$ , and  $O(N^2\alpha(N,N))$  result, depending on the choices for `MergeWithGlobal` and `MergeCalls`.

## 2.5 Instantiations of the Framework

Table 1 identifies several algorithms that are instantiations of our framework; boldface rows are new algorithms.

Classic OO 0-CFA is the standard cubic-time, flow-sensitive but context-insensitive interprocedural class analysis. Equivalence Class Analysis is Steensgaard-style near-linear-time division of the program’s dataflow graph into disjoint subgraphs, extended to work in the object-oriented context. RTA is Bacon and Sweeney’s Rapid Type Analysis algorithm.

The five other algorithms represent new interesting points in the analysis design space. The three Linear-Edge algorithms bound the number of call edges, dropping a factor of  $O(N)$  from the complexity of the other (quadratic-edge) algorithms. The two Bounded algorithms use supernodes and merging to ensure only a constant number of visits per node, dropping another factor of  $O(N)$  from the complexity (but adding back in the near-constant  $O(\alpha(N,N))$  to pay for the overhead of merging). The two Simply Bounded algorithms avoid this extra  $O(\alpha(N,N))$  overhead by merging all supernodes with the distinguished global

**Table 1: Framework Instantiations**

<i>Algorithms</i>	<i>P</i>	<i>MergeWithGlobal</i>	<i>MergeCalls</i>	<i>Complexity</i>
Classic OO 0-CFA	$N$	n/a	false	$O(N^3)$
<b>Linear-Edge OO 0-CFA</b>	<b><math>N</math></b>	<b>n/a</b>	<b>true</b>	<b><math>O(N^2)</math></b>
<b>Bounded OO 0-CFA</b>	<b><math>O(1)</math></b>	<b>false</b>	<b>false</b>	<b><math>O(N^2\alpha(N,N))</math></b>
<b>Bounded Linear-Edge OO 0-CFA</b>	<b><math>O(1)</math></b>	<b>false</b>	<b>true</b>	<b><math>O(N\alpha(N,N))</math></b>
<b>Simply Bounded OO 0-CFA</b>	<b><math>O(1)</math></b>	<b>true</b>	<b>false</b>	<b><math>O(N^2)</math></b>
<b>Simply Bounded Linear-Edge OO 0-CFA</b>	<b><math>O(1)</math></b>	<b>true</b>	<b>true</b>	<b><math>O(N)</math></b>
Equivalence Class Analysis	0	false	true	$O(N\alpha(N,N))$
RTA	0	true	true	$O(N)$

supernode. The Bounded Linear-Edge algorithm and the Steensgaard-style Equivalence Class Analysis have the same near-linear worst-case time complexities, but the Bounded Linear-Edge algorithm always provides solutions that are at least as precise and often more precise than Equivalence Class Analysis. Similarly, the Simply Bounded Linear-Edge algorithm incurs the same linear-time complexity but delivers precision at least as good and often better than RTA.

## 2.6 Analyzing Program Components

As described and implemented, our analysis framework assumes it has access to the entire program. Our framework could be extended to support more modular analyses by allowing components of programs to be modeled by summary dataflow graphs. Components whose source code is unavailable can then be analyzed as long as a summary dataflow graph is available. (The summary dataflow graph need not be precise, merely a sound approximation of the “true” dataflow graph.) Furthermore, components can be partially pre-analyzed, starting from known sources of class information within the component, with the resulting partially propagated and/or collapsed dataflow graph being used in the analysis of containing programs. This would lead to a kind of hierarchical, component-wise analysis of programs that may help the analyses scale to larger programs, along the lines of Flanagan and Felleisen’s componential set-based analysis [Flanagan & Felleisen 97].

## 2.7 Clients of the Analysis

Our analysis provides information to clients in two forms. The program call graph can be constructed in time proportional to the number of edges by recording when barriers along call edges are broken; each such broken barrier corresponds to an edge in the program call graph. Additionally, the done list of the supernode of each variable’s node records the bag of classes that may be stored in that variable. A number of interesting optimizations can exploit this information in only constant time per access, including:

- checking whether only one method can be called from a given call site and if so replacing that dynamically dispatched message with a direct procedure or inlined code,
- skipping compilation of any methods not called from any call site in the call graph (treeshaking).

Some other uses of the information may require more work, however. For example, to support constant-time testing of whether a particular class is a member of a particular variable’s set of possible classes, to optimize run-time class tests for instance, the done bag for the variable’s supernode needs to be converted into a set, which requires quadratic time in the worst case for algorithms with *MergeWithGlobal* false and  $P < N$ . (Algorithms where *MergeWithGlobal* is true can simply use the set of live classes as the classes set for the distinguished global node, and uncollapsed nodes maintain the set of classes reaching them directly.) Consequently, the bounded linear-edge algorithm, with asymptotic complexity  $O(N\alpha(N,N))$ , may not be appropriate for clients which require this per-variable set-of-classes information.

Other authors of sub-quadratic algorithms have also encountered difficulties providing useful information to clients. For example, Steensgaard presents a near-linear-time algorithm for performing pointer analysis, but to completely query the resulting data structure to compute all points-to relationships among variables would require quadratic time [Steensgaard 96].

But if only a subset of the possible points-to relationships are of interest, then less time may be incurred in a particular algorithm. Similarly, Heintze and McAllester describe subtransitive control flow analysis which constructs an encoded representation of the 0-CFA dataflow graph in linear time (for a restricted language model with function types bounded in size by a constant), but performing the transitive closure to compute the full explicit dataflow graph requires quadratic time [Heintze & McAllester 97]. They offer other queries of their encoded representation, such as computing the call sites which have only one callee, which require only linear time.

### 3 Experimental Assessment

In addition to asymptotic complexity results, we wish to understand how well the different algorithms perform in practice. Accordingly, we implemented our framework in the Vortex optimizing compiler [Dean et al. 96] and applied all eight algorithms to the collection of large Cecil and Java programs described in Table 2. We assessed the algorithms according to the following three criteria:

- What are the relative precisions of the sets of classes and the induced call graph produced by the various algorithms?
- What are the relative costs of the various algorithms, measured in terms of analysis time and space costs?
- How do the differences in precision translate into differences in the bottom-line effectiveness of client optimizations, in terms of program execution speed and executable size?

The results of our experiments are shown in Figures 9 and 10; the raw data may be found in Appendix A. Each graph plots two pairs of two lines, one pair for bounded and simply bounded linear-edge OO 0-CFA and one pair for bounded and simply bounded (quadratic-edge) OO 0-CFA, with  $P$  varying from 0 to  $N$  along the x-axis. When  $P=N$ , the pairs of lines converge into linear-edge OO 0-CFA and classic OO 0-CFA, respectively. In the degenerate case when  $P=0$ , bounded linear-edge OO 0-CFA is equivalent to equivalence class analysis and simply bounded linear-edge OO 0-CFA is equivalent to RTA. Subsection 3.1 discusses the measured time and space costs of analysis, Subsection 3.2 discusses the relative abstract precision of the different algorithms, and Subsection 3.3 addresses the impact of the results of interprocedural class analysis on run-time speed and executable size. All experiments were performed on a Sun Ultra-1 model 170.

**Table 2: Benchmark Applications**

	<i>Program</i>	<i>Lines<sup>a</sup></i>	<i>Description</i>
Cecil	richards	400	Operating systems simulation
	deltablue	650	Incremental constraint solver
	instr sched	2,400	Global instruction scheduler
	typechecker	20,000	Cecil typechecker
	compiler	50,000	Old version of Vortex compiler
Java	toba	3,900	Java bytecode to C translator
	espresso	13,800	Java source to bytecode translator <sup>b</sup>
	javac	25,550	Java source to bytecode translator <sup>b</sup>

a. Excluding standard libraries. All Cecil programs are compiled with an 11,000-line standard library.

All Java programs include a 16,000-line standard library.

b. The two Java translators have no common code and were developed by different people.

#### 3.1 Time and Space Costs

The first column of graphs shows the analysis times in seconds. Overall, asymptotic time complexity is a fairly good predictor of actual analysis time. As program size increases, the time required to perform instances of the two linear-time algorithmic families also increases linearly. The gap between the linear-time and the quadratic-time algorithms widens as program size increases. The larger constant values for  $P$  incur small increases in analysis time over  $P=0$ . For the four smallest programs, analysis time actually decreases as  $P$  grows from 50 to  $N$ ; in these programs, the additional precision of the  $P=N$  configuration

significantly reduces the number of reachable methods (and thus the number of nodes and edges in the dataflow graph) which compensates for the additional propagation across non-unified edges.

For the larger programs, the space cost of explicitly representing the entire dataflow graph, especially when *MergeCalls* is false, becomes prohibitive. The missing data points for the *typechecker* and *compiler* programs are due to excessive memory consumption. Future work includes implementing a more space-efficient representation of the dataflow graph, and investigating mixing partially implicit representations of the dataflow graph with node unification.

### 3.2 Abstract Precision

A number of metrics can be used to measure the abstract precision of interprocedural class analysis. The second and third columns of graphs present data for two of these metrics that are closely related to the optimizations performed by Vortex using the results of interprocedural class analysis.

- *Percentage of Singleton Class Sets*: Each node in the dataflow graph has an associated set of classes. What fraction of these nodes contain only a single class? This metric provides an abstract measure of the precision of interprocedural class analysis and may be indicative of how useful the information will be when it is consulted during intraprocedural class analysis.
- *Percentage of Singleton Callees*: A call graph can be built as interprocedural analysis proceeds. What fraction of all message sends in the program can be proved to only invoke one target method? This metric is closely related to the effectiveness of static binding (and subsequent inlining) of message sends during intraprocedural compilation and optimization.

Since it only maintains a single global set of classes, RTA does not have any singleton class sets. The Steensgaard-style Equivalence Class Analysis has the potential to do better than RTA, but only succeeds in doing so on a subset of the benchmark programs; in the larger Cecil programs it was unable to identify enough disjoint regions of the dataflow graph to impact the results. However, modest increases in the value of  $P$  (up to about  $P=5$ ) yield large increases in the fraction of singleton class sets. We observed diminishing returns for larger constant values of  $P$ , but setting  $P=N$  results in a large increase in the percentage of singleton class sets. Increasing the number of edges from  $O(N)$  to  $O(N^2)$  has a negligible impact on the percentage of singleton class sets. Similar trends also hold for the percentage of singleton callees metric, with the slight complication that due to treeshaking the total number of call sites actually decreases as  $P$  increases, and thus in a few cases the percentage of singleton callees actually slightly decreases as algorithmic precision increases.

### 3.3 Bottom-Line Impact of Abstract Precision

To assess both the bottom-line impact of interprocedural analysis as well as how well the abstract precision metrics described in the previous subsection predict algorithmic effectiveness, we compared, for each benchmark and algorithm pair, the performance of a base configuration that did not use interprocedural optimizations against a configuration performing interprocedural optimizations building on the class sets and call graphs produced by the algorithm. The base configuration represents an aggressive combination of intraprocedural and limited interprocedural optimizations which include: intraprocedural class analysis [Johnson 88, Chambers & Ungar 90], hard-wired class prediction for common messages (Cecil programs only) [Deutsch & Schiffman 84, Chambers & Ungar 89], splitting [Chambers & Ungar 89], whole-program class hierarchy analysis [Dean et al. 95], cross-module inlining, static class prediction [Dean et al. 96, Dean 96] and closure optimizations (Cecil only). We applied these optimizations through our Vortex compiler to produce C code, which we then compiled with `gcc -O2` to produce executable code.

The interprocedural configuration augments the base configuration with interprocedural analyses that enabled the intraprocedural optimizations in `base` to work better:

- *Class analysis*: Intraprocedural class analysis exploits the class sets and the sets of possible callee methods computed by interprocedural analysis, enabling better optimization of dynamically dispatched messages.
- *Treeshaking*: As a side-effect of constructing the call graph, the compiler identifies those procedures which are unreachable during any program execution. The compiler does not compile any unreachable procedures, often resulting in substantial reductions both in code size and compile time.

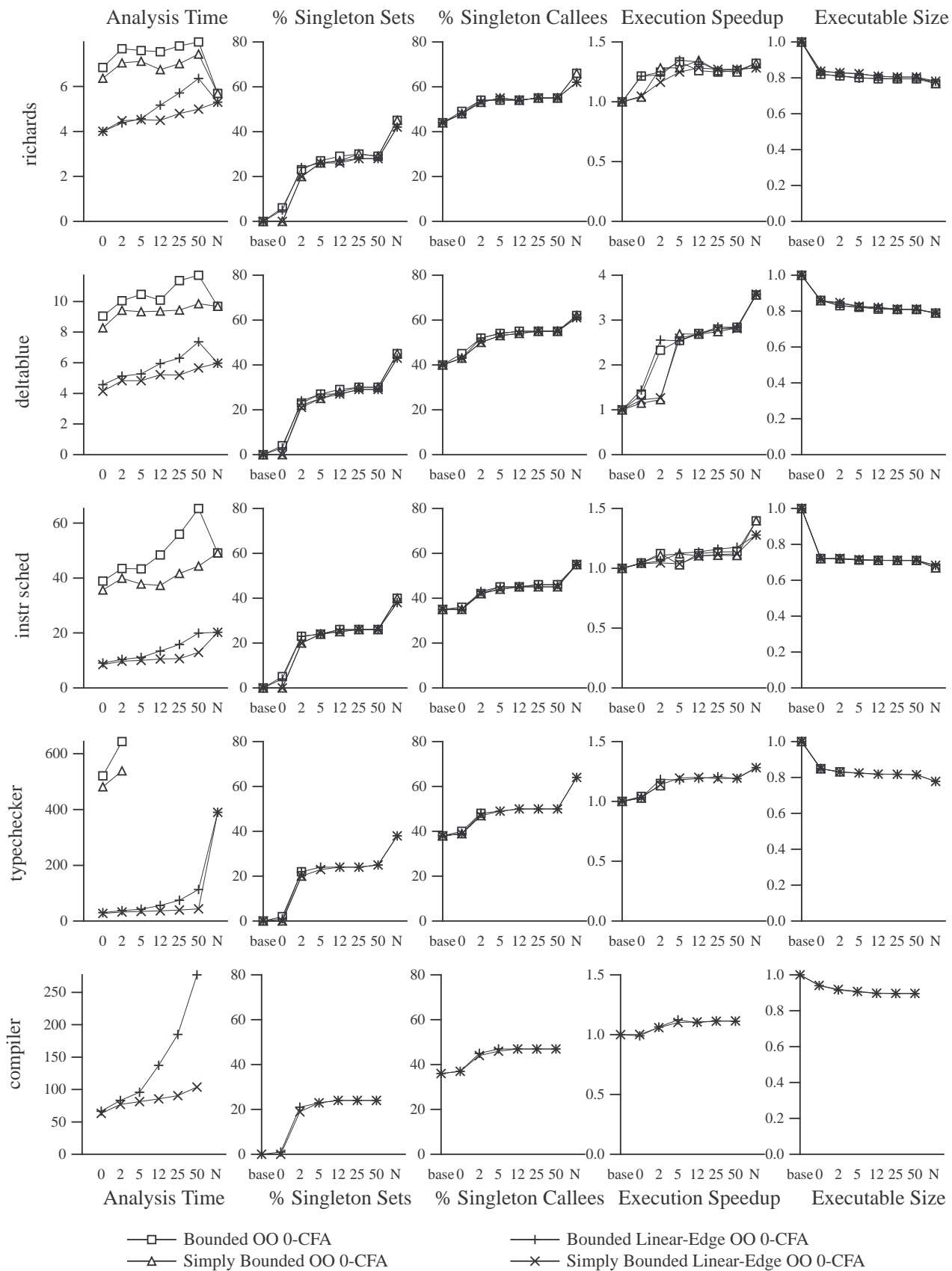
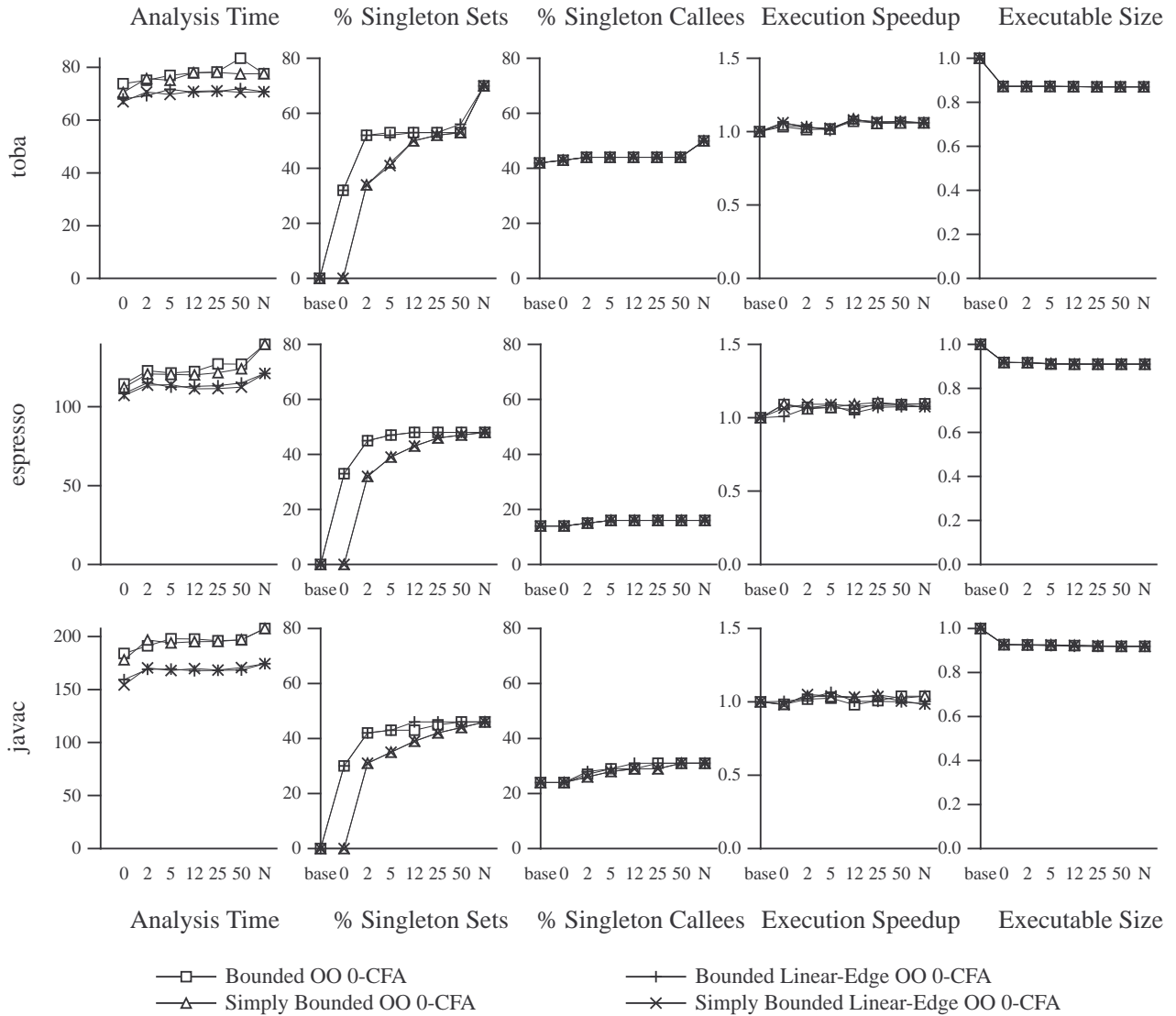


Figure 9: Experimental Results (Cecil)





**Figure 10:** Experimental Results (Java)

The final two columns of graphs present application speedups and executable sizes relative to the **base** configuration. Interprocedural class analysis enabled speedups ranging from marginal improvements to slightly over a factor of three speedup on one benchmark. With the exception of the two smallest benchmarks, the  $P=0$  configurations (RTA and Equivalence Class Analysis) did not help run-time speed. Increasing the value of  $P$  beyond 0, however, improved run-time speed, and as foreshadowed by the abstract precision results, a fairly small  $P$  value (e.g., 5) was sufficient to obtain most of the benefit available for constant values of  $P$ . The additional precision obtained in the  $P=N$  configurations translated into additional performance improvements over the  $P=50$  configurations.

The least precise algorithm (RTA) was sufficient to enable most of the reduction in executable size. Increasing values of  $P$  enable little additional improvement over RTA for most benchmarks.

The number of edges, either  $O(N)$  or  $O(N^2)$  depending on the value of *MergeCalls*, did not have a significant impact on either application speedup or executable size. Thus, the two linear-edge algorithms are clearly preferable to their quadratic-edge counterparts, since they obtain virtually identical bottom-line results while consuming less analysis time and space. For the two smallest benchmarks, the additional potential precision of the bounded algorithms *vs.* the simply bounded algorithms had an impact on bottom-line application performance, but there was not a measurable difference for the majority of the benchmarks.

## 4 Future Work

We are currently investigating a number of extensions to our framework for fast interprocedural class analysis. First, we are studying how to adapt the idea of lazily merging nodes, present for propagation, to apply to merging call site message nodes. Initially, each call site could get its own separate message node, but use merging to ensure that each method is reached by at most one call site. This would ensure a linear bound on the number of edges in the graph while still enabling a fair amount of separation between independent callers. This facility may be particularly helpful for invocations of closures, where the shared `apply` formal and result message nodes introduced eagerly when *MergeCalls* is true are smearing the argument and result class sets of all closures with a particular number of arguments together, while lazy merging of these message nodes could often keep closures used in simple ways isolated from one another.

Allowing a quadratic number of edges in the graph offers a kind of context-sensitive or polyvariant analysis of the virtual generic function that dispatches messages with a given name and number of arguments to the appropriate member methods. More generally, we wish to explore adding other more explicit forms of context-sensitivity to our fast analyses. In other work we have examined the impact of different context-sensitivity strategies on cost and precision of algorithms building upon the cubic-time classic OO 0-CFA algorithm [Grove et al. 97], but we have not considered adapting those notions to the faster algorithms presented here, nor have we considered ways of bounding the worst-case cost of context-sensitivity.

The parameters to our framework allow placing bounds on different aspects of the algorithm, to achieve better worst-case time and space costs. However, each of these bounds was ensured uniformly across the program on a local basis. An alternative approach could more adaptively redistribute the total budget of allowable work units so that parts of the graph that do not come close to the original uniform bound can redistribute their unused work units to be used in parts of the graph that are more challenging. Similarly, some kinds of approximations are more costly in final precision than others; for example, merging two nodes within a method body probably has much less negative impact on the quality of the final solution than does collapsing a barrier node which may allow whole trees of methods to become reachable that shouldn't be, incurring much more work to process the bodies of the otherwise unreachable methods.

## 5 Additional Related Work

Our framework integrates traditional propagation-based analyses such as 0-CFA and type-inference-style, unification-based analyses such as Steensgaard's pointer analysis, as well as coping with object-oriented method dispatch and supporting optimistic pruning of unreachable classes and methods. Ashley presents an algorithm framework parameterized by a context-sensitivity operator and an operator for removing undesired precision of abstract values during analysis [Ashley 96, Ashley 97]. He instantiates his framework to produce an algorithm that performs only a bounded amount of propagation before falling back to a distinguished Unknown abstract value, which resembles our simply bounded OO 0-CFA  $O(N^2)$  algorithm. Our framework additionally supports local unification (*MergeWithGlobal* = false), linear-edge variants, and object-oriented language features. Unlike our framework as presented here, Ashley's framework supports context-sensitive analysis, and he examined combining his bounded algorithm with 1-CFA-style context-sensitivity.

Relatively few interprocedural control flow or class analyses have been implemented and measured on substantial programs. In order of increasing asymptotic complexity of the examined algorithms, Bacon and Sweeney examined C++ programs up to 30,000 lines in size, Steensgaard examined C programs up to 25,000 lines, Ashley examined Scheme programs up to 30,000 lines in size, Agesen examined Self programs up to 7,000 lines (although all but one were 1,000 lines or smaller), and Plevyak and Chien examined Concurrent Aggregates programs up to 2,000 lines. Our benchmarks span a range from several hundred to 60,000 lines in size (including library code), enabling us to assess the scalability of the different algorithm instances beyond that examined by previous work.

## 6 Conclusion

We have developed a parameterized algorithm for interprocedural class analysis that describes a continuum of different algorithms ranging in cost from  $O(N)$  to  $O(N^3)$ . Our framework integrates both propagation-style analysis and unification-style analysis, allowing specific algorithms to mix the two methods to achieve desired time costs and precision benefits. Since interprocedural class analysis is very similar in spirit to control flow analysis, closure analysis, and set-based analysis, and includes mechanisms found in (non-standard) type inference systems, versions of our new algorithms should be applicable in

a wide range of interprocedural analysis domains for languages with data-dependent control flow (e.g., first-class functions and/or dynamic dispatching).

We have implemented this framework and measured its effectiveness on a number substantial Cecil and Java programs. The new bounded and simply bounded linear-edge OO 0-CFA algorithms substantially improve the values of such abstract metrics as the percentage of singleton class sets and singleton callees, in comparison to previous linear- and near-linear-time algorithms for interprocedural class analysis. This improvement in abstract precision often translates into improvements in bottom-line application speed and compactness.

## **Acknowledgments**

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**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
richards	Simply Bounded Linear-Edge OO 0-CFA	0	4,002	0	48	131	837,532
		2	4,473	20	53	118	829,260
		5	4,517	26	55	110	823,684
		12	4,494	26	54	106	809,316
		25	4,796	28	55	108	805,324
		50	4,986	28	55	108	805,324
	Bounded Linear-Edge OO 0-CFA	0	3,994	5	48	113	820,476
		2	4,380	24	54	111	810,844
		5	4,564	26	54	102	798,404
		12	5,168	27	54	103	798,044
		25	5,709	28	55	109	795,188
		50	6,352	28	55	108	795,188
	Simply Bounded OO 0-CFA	0	6,361	0	48	133	837,532
		2	7,049	20	53	108	828,868
		5	7,115	26	55	106	823,236
		12	6,747	27	54	102	809,260
		25	7,011	30	55	108	805,212
		50	7,435	29	55	110	805,252
	Bounded OO 0-CFA	0	6,839	6	49	113	820,476
		2	7,676	23	54	110	811,484
		5	7,600	27	54	103	802,148
		12	7,548	29	54	109	795,340
		25	7,805	30	55	109	795,140
		50	7,981	29	55	108	795,172
	Linear-Edge OO 0-CFA	N	5,298	42	62	106	782,092
	OO 0-CFA	N	5,692	45	66	106	768,780

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
deltablue	Simply Bounded Linear-Edge OO 0-CFA	0	4,140	0	43	1,750	882,204
		2	4,832	21	50	1,686	871,548
		5	4,827	25	53	802	850,196
		12	5,216	27	54	789	843,532
		25	5,197	29	55	742	834,004
		50	5,663	29	55	745	834,004
	Bounded Linear-Edge OO 0-CFA	0	4,562	3	43	1,450	884,684
		2	5,132	24	52	816	854,556
		5	5,277	27	54	823	845,076
		12	5,954	27	55	787	842,204
		25	6,303	29	55	732	831,356
		50	7,365	29	55	733	831,356
	Simply Bounded OO 0-CFA	0	8,274	0	43	1,874	882,204
		2	9,424	22	50	1,736	867,260
		5	9,328	25	53	778	849,804
		12	9,370	28	54	782	843,324
		25	9,434	30	55	790	833,948
		50	9,854	30	55	740	833,948
	Bounded OO 0-CFA	0	9,052	4	45	1,560	884,684
		2	10,049	23	52	897	853,300
		5	10,469	27	54	819	844,396
		12	10,092	29	55	776	833,596
		25	11,371	30	55	770	831,356
		50	11,723	30	55	732	831,356
	Linear-Edge OO 0-CFA	N	5,980	43	61	582	811,324
	OO 0-CFA	N	9,689	45	62	588	810,764

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
instr sched	Simply Bounded Linear-Edge OO 0-CFA	0	8,493	0	35	1,054	1,405,384
		2	9,726	20	42	1,054	1,410,432
		5	10,010	24	44	1,071	1,396,976
		12	10,499	25	45	989	1,389,080
		25	10,661	26	45	989	1,388,752
		50	12,921	26	45	976	1,388,752
	Bounded Linear-Edge OO 0-CFA	0	9,049	4	35	1,058	1,408,264
		2	10,353	23	43	1,041	1,400,816
		5	11,069	24	45	974	1,393,528
		12	13,397	26	45	965	1,387,264
		25	15,789	26	46	944	1,386,176
		50	19,967	26	46	966	1,386,176
	Simply Bounded OO 0-CFA	0	35,592	0	35	1,061	1,405,384
		2	39,885	20	42	1,013	1,408,472
		5	37,818	24	44	994	1,395,128
		12	37,301	25	45	994	1,388,464
		25	41,617	26	45	993	1,388,616
		50	44,357	26	45	1,006	1,388,616
	Bounded OO 0-CFA	0	38,869	5	36	1,063	1,408,264
		2	43,421	23	42	990	1,406,248
		5	43,307	24	45	1,067	1,389,632
		12	48,407	26	45	961	1,388,256
		25	55,970	26	46	989	1,386,336
		50	65,339	26	46	987	1,386,336
	Linear-Edge OO 0-CFA	N	20,221	38	55	869	1,334,816
	OO 0-CFA	N	49,147	40	55	774	1,306,384



**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
typechecker	Simply Bounded Linear-Edge OO 0-CFA	0	27,702	0	39	9,586	3,995,464
		2	32,757	20	47	8,719	3,911,136
		5	34,647	23	49	8,297	3,883,312
		12	37,041	24	50	8,392	3,856,200
		25	39,736	24	50	8,360	3,849,784
		50	44,267	25	50	8,289	3,836,232
	Bounded Linear-Edge OO 0-CFA	0	30,078	1	39	9,607	3,995,496
		2	37,200	22	48	8,506	3,904,552
		5	42,583	24	49	8,365	3,875,680
		12	56,298	24	50	8,366	3,850,224
		25	74,906	24	50	8,267	3,847,104
		50	113,490	25	50	8,356	3,834,888
	Simply Bounded OO 0-CFA	0	481,208	0	39	9,702	3,995,464
		2	538,726	20	47		
		5					
		12					
		25					
		50					
	Bounded OO 0-CFA	0	520,733	2	40	9,524	3,995,664
		2	643,363	22	48	8,963	3,909,520
		5					
		12					
		25					
		50					
	Linear-Edge OO 0-CFA	N	390,248	38	64	7,857	3,661,160
	OO 0-CFA	N					

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>	
compiler	Simply Bounded Linear-Edge OO 0-CFA	0	63,116	0	37	194,195	7,811,120	
		2	76,960	19	44	183,214	7,633,032	
		5	81,419	23	46	175,374	7,534,816	
		12	85,722	24	47	174,129	7,452,768	
		25	90,657	24	47	173,914	7,441,112	
		50	103,825	24	47	174,047	7,440,664	
	Bounded Linear-Edge OO 0-CFA	0	66,505	1	37	194,578	7,806,944	
		2	83,180	21	45	181,398	7,599,776	
		5	95,785	23	47	172,982	7,515,448	
		12	137,205	24	47	175,606	7,440,096	
		25	185,006	24	47	173,193	7,437,616	
		50	276,803	24	47	174,261	7,437,480	
	Simply Bounded OO 0-CFA	0						
		2						
		5						
		12						
		25						
		50						
	Bounded OO 0-CFA	0						
		2						
		5						
		12						
		25						
		50						
	Linear-Edge OO 0-CFA	N						
	OO 0-CFA	N						

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
toba	Simply Bounded Linear-Edge OO 0-CFA	0	66,982	0	43	1,198	1,463,984
		2	70,700	34	44	1,241	1,463,792
		5	69,743	41	44	1,290	1,463,624
		12	70,927	50	44	1,180	1,462,128
		25	71,097	52	44	1,191	1,461,640
		50	70,595	53	44	1,196	1,461,640
	Bounded Linear-Edge OO 0-CFA	0	68,047	32	43	1,201	1,463,984
		2	69,246	52	44	1,229	1,463,816
		5	71,640	52	44	1,267	1,463,624
		12	70,550	53	44	1,174	1,462,128
		25	70,854	53	44	1,199	1,461,640
		50	71,994	56	44	1,190	1,461,640
	Simply Bounded OO 0-CFA	0	70,452	0	43	1,222	1,463,984
		2	75,796	34	44	1,238	1,463,792
		5	75,104	42	44	1,256	1,463,624
		12	78,002	50	44	1,187	1,462,128
		25	78,108	52	44	1,205	1,461,328
		50	77,552	53	44	1,203	1,461,328
	Bounded OO 0-CFA	0	73,796	32	43	1,237	1,463,984
		2	75,145	52	44	1,286	1,463,624
		5	76,956	53	44	1,248	1,463,624
		12	77,912	53	44	1,192	1,462,128
		25	78,217	53	44	1,203	1,461,328
		50	83,427	53	44	1,202	1,461,328
	Linear-Edge OO 0-CFA	N	70,790	70	50	1,202	1,461,328
	OO 0-CFA	N	77,572	70	50	1,201	1,461,328

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
espresso	Simply Bounded Linear-Edge OO 0-CFA	0	107,174	0	14	1,607	2,082,456
		2	113,684	32	15	1,573	2,081,000
		5	114,019	39	16	1,577	2,069,976
		12	111,390	43	16	1,591	2,066,464
		25	111,425	46	16	1,587	2,065,992
		50	112,392	47	16	1,581	2,065,808
	Bounded Linear-Edge OO 0-CFA	0	108,328	33	14	1,706	2,082,456
		2	115,263	45	15	1,612	2,079,912
		5	112,442	47	16	1,578	2,067,032
		12	112,858	48	16	1,660	2,066,280
		25	113,387	48	16	1,636	2,065,808
		50	115,137	48	16	1,599	2,065,296
	Simply Bounded OO 0-CFA	0	112,292	0	14	1,568	2,082,456
		2	120,799	32	15	1,621	2,080,104
		5	120,484	39	16	1,608	2,069,976
		12	120,133	43	16	1,578	2,065,992
		25	121,515	46	16	1,560	2,065,992
		50	124,091	47	16	1,573	2,065,808
	Bounded OO 0-CFA	0	114,495	33	14	1,578	2,082,456
		2	122,807	45	15	1,598	2,079,344
		5	121,379	47	16	1,609	2,065,392
		12	122,356	48	16	1,628	2,065,808
		25	127,196	48	16	1,573	2,065,808
		50	127,055	48	16	1,578	2,065,296
	Linear-Edge OO 0-CFA	N	121,122	48	16	1,605	2,065,296
	OO 0-CFA	N	139,525	48	16	1,577	2,065,296

**Table 3: Experimental Results**

	<i>Algorithm</i>	<i>P</i>	<i>Analysis Time (msec)</i>	<i>% Singleton Class Sets</i>	<i>% Singleton Callees</i>	<i>Execution Time (msec)</i>	<i>Executable Size (bytes)</i>
javac	Simply Bounded Linear-Edge OO 0-CFA	0	154,182	0	24	1,158	2,648,656
		2	170,409	31	26	1,077	2,647,064
		5	167,895	35	28	1,112	2,645,288
		12	169,687	39	29	1,134	2,639,256
		25	168,380	42	29	1,129	2,634,824
		50	170,610	44	31	1,134	2,628,072
	Bounded Linear-Edge OO 0-CFA	0	159,273	30	24	1,124	2,647,904
		2	168,970	42	28	1,135	2,644,392
		5	169,335	43	29	1,123	2,636,624
		12	167,726	46	31	1,142	2,627,240
		25	168,007	46	31	1,134	2,627,240
		50	168,242	46	31	1,139	2,627,240
	Simply Bounded OO 0-CFA	0	177,967	0	24	1,152	2,648,656
		2	196,619	31	26	1,091	2,647,064
		5	193,884	35	28	1,130	2,645,288
		12	195,221	39	29	1,137	2,639,256
		25	195,405	42	29	1,094	2,634,824
		50	196,930	44	31	1,138	2,628,072
	Bounded OO 0-CFA	0	184,080	30	24	1,150	2,647,904
		2	191,072	42	27	1,112	2,646,992
		5	197,896	43	29	1,142	2,639,256
		12	197,725	43	29	1,221	2,637,560
		25	196,124	45	31	1,123	2,627,736
		50	196,711	46	31	1,123	2,627,240
	Linear-Edge OO 0-CFA	N	174,291	46	31	1,155	2,627,240
	OO 0-CFA	N	207,608	46	31	1,135	2,627,240