

# On the Hardness of Embeddings Between Two Finite Metrics

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## Abstract

We improve hardness results for the problem of embedding one finite metric into another with minimum distortion. This problem is equivalent to optimally embedding one weighted graph into another under the shortest path metric. We show that unless  $P = NP$ , the minimum distortion of embedding one such graph into another cannot be efficiently approximated within a factor less than  $9/4$  even when the two graphs are unweighted trees. For weighted trees with the ratio of maximum edge weight to the minimum edge weight of  $\alpha^2$  ( $\alpha \geq 1$ ) and all but one node of constant degree, we improve this factor to  $1 + \alpha$ . We also obtain similar hardness results for extremely simple line graphs (weighted). This improves and complements recent results of Kenyon et al. [12] and Papadimitriou and Safra [17].

## 1 Introduction

For two  $n$ -point metric spaces  $(X, \rho)$  and  $(Y, \sigma)$ , the *expansion* of a bijection  $\varphi : X \rightarrow Y$  is defined as  $exp(\varphi) = \max_{a \neq b \in X} \frac{\sigma(\varphi(a), \varphi(b))}{\rho(a, b)}$ . The *distortion* of  $\varphi$ , denoted  $dist(\varphi)$ , is the product of  $exp(\varphi)$  and  $exp(\varphi^{-1})$ . The expansion of  $\varphi^{-1}$  is also referred to as the *contraction* of  $\varphi$  and denoted  $con(\varphi)$ . The *distortion between  $X$  and  $Y$* , denoted  $dist(X, Y)$ , is the minimum distortion over all such bijections and may be thought of as a difference measure between these metric spaces. This paper addresses the computational hardness of the problem of embedding one finite metric space into another with minimum distortion.

The notion of distortion was originally studied for infinite metrics [11] in the analysis of Banach spaces. More recently the embedding of finite metrics into Euclidean and other  $L_p$  metrics has been very successful for applications in theoretical computer science, including approximation, learning, on-line algorithms, high-dimensional geometry, and others [5, 16, 15, 10]. This notion has been extended in such directions as embedding a finite metric into a distribution of metrics which has again found great success in approximation algorithms [1, 7]. This continues to be an active area of research [2, 14].

We point out that the problems addressed in the works mentioned above are combinatorial in nature— that is, they are concerned with embedding a finite metric into another *class* of metrics and the focus is on providing bounds for the distortion itself. However, we are interested in the algorithmic problem of embedding a *specific* metric into another *specific* metric— i.e. we are interested in the worst case ratio of the distortion obtained by the algorithm under consideration and the best possible distortion. This problem was introduced by Kenyon et al. [12]. The recent work of Bădoiu et. al. [3] considers the algorithmic question of finding embeddings of a specific metric into a class of metrics.

In addition to the fact that the problem of finding low-distortion embeddings between two finite metrics is a very natural question that by itself merits investigation, the problem is also likely to have much wider use than theoretical computer science. To mention three examples, theorem proving and symbolic computation [19], database problems

such as queries over heterogeneous structured databases [20], and matching gels from electrophoresis [9] can all be expressed as tree embedding problems. The problem has several other applications as well [12].

We note a basic fact that any  $n$ -point metric may be realized as the shortest path metric of a weighted undirected graph over  $n$  nodes, for example by making a complete graph whose adjacency matrix is the matrix of metric distances. Due to this correspondence, we will exclusively focus on the problem of optimally embedding one graph into another. We will implicitly identify a graph with the metric given by shortest paths on that graph. For a set of weighted graphs, their *weight ratio* is the ratio of the maximum to the minimum weights of edges in the graphs.

## 1.1 Previous Results

The only upper bounds on this problem known to us are by Kenyon et al. [12]. Given two point sets on the real line with the  $L_1$  distance metric that have distortion less than  $3 + 2\sqrt{2}$ , there is a polynomial time algorithm to find an embedding with the minimum distortion. Their second result finds the minimum distortion between an arbitrary graph and a tree, in polynomial time if the degree of the tree and the distortion are constant. Their algorithm is exponential in the degree of the tree and doubly-exponential in the distortion. Both algorithms are based on dynamic programming; the latter is similar to those based on tree decompositions of graphs.

The situation for hardness results is a little more clear. Determining if there is an isometry—a distortion 1 embedding—between two graphs is the graph isomorphism problem, which is not known to be in P but which is probably not NP-hard either. Kenyon et al. [12] show the problem is NP-hard to approximate within a factor of 2 for general graphs and a factor of  $4/3$  in the case where one of the graphs is an unweighted tree and the other is a weighted graph with weights  $1/2$  or  $1$ . Papadimitriou and Safra [17] show that it is NP-hard to approximate within a factor of 3 the distortion between any two finite metrics realized as point sets in  $\mathbb{R}^3$  where the distance metric is the  $L_2$  norm.

## 1.2 Our Results

**Unweighted Trees** (Section 3.3) The problem is NP-hard to approximate within a factor less than  $9/4$  for unweighted trees. As far as we know, this is the first hardness result for embedding an unweighted graph into another. It also improves the factor of 2 result for general graphs [12] even when the graphs are unweighted.

**Weighted Trees** (Section 3.2) The problem is NP-hard to approximate within a factor less than  $1 + \alpha$  for any  $\alpha \geq 1$  and tree graphs with weight ratio  $\Omega(\alpha^2)$ . This is the first hardness result for embedding trees into trees and improves the bound of 2 for general graphs [12] at the expense of a larger weight ratio. Our result also holds when all but one node of the underlying graphs have degree  $\leq 4$ ; the problem is known to be easy in the unweighted case when all nodes have constant degree and the distortion is small [12]. This result also improves the bound of 3 by Papadimitriou and Safra [17].

**Weighted Line Graphs** (Section 4) The problem is NP-hard to approximate within a factor of  $\alpha$  for any  $\alpha > 1$  and line graphs with weight ratio  $\Omega(\alpha^2 n^4)$ , where  $n$  is the number of nodes in the two graphs. This is the only bound known for graphs with constant degrees and large weights.

## 2 Preliminaries

We begin with some basic properties of the distortion resulting from embedding a weighted undirected graph  $G$  into another such graph  $H$ . Let  $[m]$  denote the set of integers from 1 to  $m$ . Let  $d_G$  and  $d_H$  denote the shortest path distances in  $G$  and  $H$ , respectively. Fix a bijection  $\varphi : G \rightarrow H$ . We state the following results for  $exp(\varphi)$ . Analogous results hold for  $con(\varphi)$  which is nothing but  $exp(\varphi^{-1})$ .

**Lemma 1 ([12]).**  $\varphi$  achieves its maximum expansion at some edge in  $G$ , i.e.,  $exp(\varphi) = \max_{\{a,b\} \in E(G)} \frac{d_H(\varphi(a), \varphi(b))}{d_G(a,b)}$ .

**Corollary 2.** If  $G$  and  $H$  are unweighted then  $exp(\varphi)$  is an integer.

**Lemma 3.** If  $G$  and  $H$  are unweighted and  $H$  has no edge-subgraph that is isomorphic to  $G$  then  $exp(\varphi) \geq 2$ .

*Proof.* Let  $u$  and  $v$  be nodes of  $G$  such that  $(u, v) \in E(G)$  but  $(\varphi(u), \varphi(v)) \notin E(H)$ . Such nodes must exist because  $H$  has no edge-subgraph isomorphic to  $G$ .  $d_G(u, v) = 1$  and  $d_H(\varphi(u), \varphi(v)) \geq 2$ , implying an expansion of at least 2.  $\square$

We now state the problem we use in the reductions for our NP-hardness proofs. It is a generalization of the Hamiltonian cycle problem [8]. Let  $\mathcal{G} = (V, E)$  be a directed graph over  $n$  vertices.  $\mathcal{G}$  has a *disjoint cycle cover* if there is a collection of vertex-disjoint cycles in  $\mathcal{G}$  that contain every node in  $V$ , i.e., there exists a permutation  $\sigma : [n] \rightarrow [n]$  such that for all  $i \in [n]$ ,  $(v_i, v_{\sigma(i)}) \in E$ .  $\mathcal{G}$  has a *loose disjoint cycle cover* if it has a disjoint cycle cover after adding two arbitrarily chosen edges to  $E$ .

The *loose directed disjoint cycle cover testing* problem is a property testing problem defined as follows. Given a directed graph  $\mathcal{G}$ , output 1 if  $\mathcal{G}$  has a disjoint cycle cover and 0 if  $\mathcal{G}$  does not even have a loose disjoint cycle cover. Note that in the remaining scenario, one is allowed to output anything.

**Lemma 4.** *The loose directed disjoint cycle cover testing problem is NP-hard for graphs with indegree  $\leq 4$  and outdegree = 3.*

*Proof.* This can be shown by an extension of the ideas used in the NP-completeness proof of the directed disjoint cycle cover problem in an earlier paper by the authors [4] using in addition the fact that the Vertex Cover problem is hard to approximate [6]. We omit the details.  $\square$

Finally, we mention a combinatorial result about sum-free sequences that is used in one of our constructions. A sequence of  $n$  integers is *k-way sum-free* if all  $n^k$  sums of  $k$  integers (not necessarily distinct) in it are distinct. Khanna et al. [13] suggest a greedy algorithm to construct 3-way sum-free sequences. Their result can be generalized to the following.

**Lemma 5.** *There exists a strictly increasing sequence of size  $n$  in  $[n^{2k-1}]$  that is  $k$ -way sum-free and is computable in time  $O(n^{2k-1})$ .*

### 3 Hardness of Embeddings between Tree Graphs

Consider the problem of finding a minimum distortion embedding between two given undirected tree graphs. We give reductions from the loose directed disjoint cycle cover testing problem to the decision version of this embedding problem on weighted as well as unweighted trees. The result for the weighted case holds even for graphs with all but one node of degree at most 4. We begin with a general construction that will be used in both reductions.

Given a directed graph  $\mathcal{G}$  with outdegree = 3 and indegree  $\leq 4$ , we will construct a source tree  $\mathcal{S}$  and a destination tree  $\mathcal{D}$  with the property that there exist  $0 < a < b$  such that

1. if  $\mathcal{G}$  has a disjoint cycle cover then  $dist(\mathcal{S}, \mathcal{D}) \leq a$ , and
2. if  $\mathcal{G}$  has no *loose* disjoint cycle cover then  $dist(\mathcal{S}, \mathcal{D}) \geq b$ .

It follows from Lemma 4 that it is NP-hard to approximate  $dist(\mathcal{S}, \mathcal{D})$  within a factor less than  $b/a$ .

#### 3.1 The Construction

We describe in this section the construction of  $\mathcal{S}$  and  $\mathcal{D}$  from  $\mathcal{G}$ . Let  $\mathbb{Z}^+$  denote the set of positive integers and  $s : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$  be a strictly increasing monotonic function. Let  $v_1, \dots, v_n$  be the vertices of  $\mathcal{G}$ .

We will need two types of gadgets, a *center gadget* and for each  $i \in [n]$ , a *size gadget*  $T_i$ . The center gadget is a rooted tree consisting of  $n$  leaves, all at depth 1. All edges in this gadget have weight  $y \in \mathbb{Z}^+$ . Its root is denoted by  $c_r$  and leaves by  $c_\ell$ . The size gadget  $T_i$  is a rooted tree consisting of  $s(i)$  leaves, all at depth 1. All edges in  $T_i$  have weight 1. The root of  $T_i$  is denoted by  $g_r$  and the leaves by  $g_\ell$ .

The source tree  $\mathcal{S}$  is constructed as follows (see Fig. 1). Start with a copy of the center gadget and associate with each  $c_\ell$  node of it a distinct vertex  $v_i$  of  $\mathcal{G}$ . For any  $i \in [n]$ , let the successors of  $v_i$  in  $\mathcal{G}$  be the vertices  $v_{i_1}, v_{i_2}$ , and

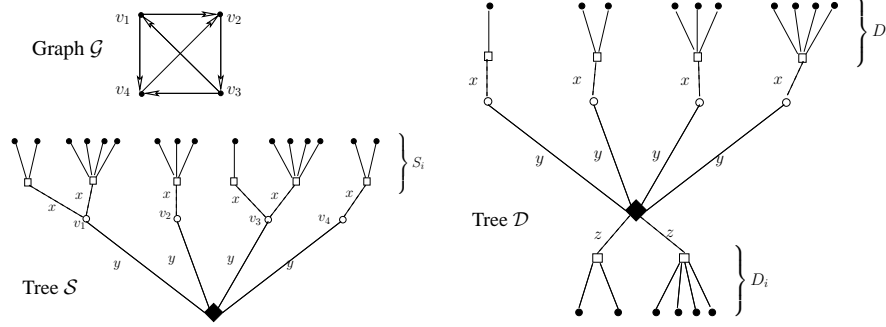


Figure 1: A directed graph and the source and destination trees corresponding to it. For simplicity of depiction,  $s(i) = i$ . Unmarked edges have a weight of 1.

$v_{i_3}$ . Attach to the  $c_\ell$  node corresponding to  $v_i$  copies of the three size gadgets  $T_{i_1}$ ,  $T_{i_2}$ , and  $T_{i_3}$  by adding edges with weight  $x \in \mathbb{Z}^+$  to the  $g_r$  nodes of these gadgets. Copies of any size gadget  $T_i$  in  $\mathcal{S}$  will henceforth be denoted by  $S_i$ .

The destination tree  $\mathcal{D}$  is constructed similarly. As before, start with a copy of the center gadget. Fix an arbitrary ordering of its  $c_\ell$  nodes. For all  $i \in [n]$ , attach to the  $i^{\text{th}}$   $c_\ell$  node a copy of the size gadget  $T_i$  by adding an edge of weight  $x$  to its  $g_r$  node. These  $n$  size gadgets are called *non-spare* size gadgets. Now let  $\mathcal{P}$  be the multi-set  $\{i \mid \text{gadget } T_i \text{ is used in } \mathcal{S}\}$ . We may assume that  $\mathcal{P} \supseteq [n]$ , otherwise a disjoint cycle cover cannot exist. For each  $i \in \mathcal{P} \setminus [n]$ , attach a copy of the size gadget  $T_i$  directly to the  $c_r$  node by adding edges of weight  $z \in \mathbb{Z}^+$  to their  $g_r$  node. These are called *spare* size gadgets. Copies of any size gadget  $T_i$  in  $\mathcal{D}$  will henceforth be denoted by  $D_i$ .

Note that both  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of nodes and for every  $i \in [n]$ , the same number of copies of the size gadget  $T_i$ . Further,  $\mathcal{S}$  and  $\mathcal{D}$  each have exactly one  $c_r$  node,  $n$   $c_\ell$  nodes, and  $3n$   $g_\ell$  nodes (recall the outdegree of every vertex of  $\mathcal{G}$  is 3). Consider a mapping  $\varphi$  from  $\mathcal{S}$  to  $\mathcal{D}$ . Let  $A$  and  $B$  be sets of nodes in  $\mathcal{S}$  and  $\mathcal{D}$ , respectively.  $\varphi$  fully maps  $A$  to  $B$  if  $\{\varphi(u) \mid u \in A\} = B$ .  $\varphi$  maps  $A$  exactly to  $B$  if  $A$  and  $B$  are size gadgets with  $g_r$  nodes  $a$  and  $b$ , respectively,  $\varphi$  fully maps  $A$  to  $B$ , and  $\varphi(a) = b$ .

The basic idea of the construction is that  $\mathcal{S}$  encodes the input graph  $\mathcal{G}$  while  $\mathcal{D}$  is setup so that the relationships between the  $c_\ell$  nodes and the non-spare size gadgets induce (via a low distortion embedding) a permutation on the vertices of  $\mathcal{G}$ . This construction balances two conflicting desires. On one hand, it must be possible to match unused size gadgets to the spare gadgets with small distortion when a disjoint cycle cover exists. Thus, the spare gadgets cannot be too far from the successor-selection part  $\mathcal{D}$ . On the other hand, a node corresponding to a vertex in  $\mathcal{G}$  must be far enough from size gadgets not corresponding to its own successors so that choosing a predecessor incorrectly gives large distortion.

**Lemma 6.** *If  $\mathcal{G}$  has a disjoint cycle cover then  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq (y + z)(x + y)/(xz)$ .*

*Proof.* As  $\mathcal{G}$  has a disjoint cycle cover, there is a permutation  $\sigma : [n] \rightarrow [n]$  such that for all  $i \in [n]$ ,  $(i, \sigma(i))$  is an edge in  $\mathcal{G}$ . We construct a small distortion embedding  $\varphi$  of  $\mathcal{S}$  into  $\mathcal{D}$ . Consider any  $i \in [n]$ . By the definition of  $\sigma$ , an  $S_i$  gadget  $A$  is attached to the  $c_\ell$  node  $u$  corresponding to  $v_{\sigma(i)}$  in  $\mathcal{S}$ . Let  $\varphi$  map  $A$  exactly to the non-spare  $D_i$  gadget  $B$  of  $\mathcal{D}$  and  $u$  to the  $c_\ell$  node attached to  $B$ . This leaves  $2n$  size gadgets of  $\mathcal{S}$  not yet mapped. Map each of these exactly to spare size gadgets of  $\mathcal{D}$ . Finally, let  $\varphi$  map the  $c_r$  node of  $\mathcal{S}$  to the  $c_r$  node of  $\mathcal{D}$ .

We claim that  $\text{exp}(\varphi) = (y + z)/x$ . By Lemma 1, we only need to consider the expansion of the edges of  $\mathcal{S}$ . The  $(g_r, g_\ell)$  and  $(c_r, c_\ell)$  edges in  $\mathcal{S}$  have an expansion of 1. A  $(g_r, c_\ell)$  edge in  $\mathcal{S}$  has an expansion of 1 if the corresponding  $S_i$  gadget is mapped to a non-spare  $D_i$  gadget and  $(y + z)/x$  otherwise. This proves the claim. We further claim that  $\text{exp}(\varphi^{-1}) = (x + y)/x$ . Again using Lemma 1, the only edges in  $\mathcal{D}$  that have expansion different from 1 are the  $(c_r, g_r)$  edges in  $\mathcal{D}$  that give an expansion of  $(x + y)/z$ . This completes the proof.  $\square$

Let  $\varphi$  be any embedding of  $\mathcal{S}$  into  $\mathcal{G}$ . Since both  $\mathcal{S}$  and  $\mathcal{D}$  contain edges of weight 1 and all edge weights are in  $\mathbb{Z}^+$ , we have the following.

**Proposition 7.**  *$\text{exp}(\varphi) \geq 1$  and  $\text{con}(\varphi) \geq 1$ .*

**Lemma 8.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  fully maps every non-spare  $D_i$  gadget from an  $S_i$  gadget and  $c_\ell$  nodes from  $c_\ell$  nodes, then both  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  are at least  $1 + 2y/x$ .*

*Proof.* For  $i \in [n]$ , consider the  $S_i$  gadget  $A_i$  that maps to the non-spare  $D_i$  gadget  $B_i$  of  $\mathcal{D}$ . Let  $A_i$  be attached to the  $c_\ell$  node  $u_j$  of  $\mathcal{S}$  corresponding to vertex  $v_j$  of  $\mathcal{G}$ . Let  $B_i$  be attached to the  $c_\ell$  node  $w_i$  of  $\mathcal{D}$ . If  $u_j$  maps to  $w_i$  and  $(v_j, v_i) \in E(\mathcal{G})$ , think of vertex  $v_i$  being chosen as the successor of vertex  $v_j$  in  $\mathcal{G}$ . Since  $\mathcal{G}$  does not have a disjoint cycle cover, there must exist  $i \in [n]$  such that  $u_j$ , as defined above, does not map to  $w_i$ . Fix such  $i$  and  $j$ . Let  $\varphi(u_j) = w_{k_1}$  and  $\varphi(u_{k_2}) = w_i$ , where  $k_1 \neq i$  and  $k_2 \neq j$ . Let  $r$  be the  $g_r$  node of  $A_i$  and  $r'$  be that of  $B_i$ . The edge  $(u_j, r)$  in  $\mathcal{S}$  gives an expansion of at least  $(x + 2y)/x = 1 + 2y/x$  because  $\varphi$  maps  $u_j$  to  $w_{k_1}$  and  $r$  to a node within  $B_i$ . Similarly, the edge  $(w_i, r')$  in  $\mathcal{D}$  gives a contraction of at least  $1 + 2y/x$  because  $\varphi^{-1}$  maps  $w_i$  to  $u_{k_2}$  and  $r'$  to a node within  $A_i$ .  $\square$

**Lemma 9.** *If  $\mathcal{G}$  has no loose disjoint cycle cover and  $\varphi$  fully maps every  $S_i$  gadgets to a  $D_i$  gadget, then both  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  are at least  $1 + 2y/x$ .*

*Proof.* Since every  $S_i$  gadget fully maps to a  $D_i$  gadget, the center gadget of  $\mathcal{S}$  fully maps to the center gadget of  $\mathcal{D}$ . We first consider the case when  $\varphi$  maps the  $c_r$  node of  $\mathcal{S}$  to the  $c_r$  node in  $\mathcal{D}$ . Every  $c_\ell$  node of  $\mathcal{S}$  must then map to a  $c_\ell$  node of  $\mathcal{D}$  and Lemma 8 completes the proof.

Now suppose that  $\varphi$  maps the  $c_r$  node of  $\mathcal{S}$  to a  $c_\ell$  node  $w_i$  of  $\mathcal{D}$ . As all gadgets are fully mapped, there is a  $c_\ell$  node  $u_j$  of  $\mathcal{S}$  corresponding to vertex  $v_j$  of  $\mathcal{G}$  be mapped to the  $c_r$  node of  $\mathcal{D}$ . Let  $B_i$  be the  $D_i$  gadget attached to  $w_i$ . From the arguments we made above, it follows that if we want at least one of  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  to be strictly less than  $1 + 2y/x$ , then only one of two things can happen. First, a size gadget  $A_i$  in  $\mathcal{S}$  that does not correspond to a successor of  $v_j$  is mapped to  $B_i$  and every other size gadget maps correctly w.r.t. the successor relationship in  $\mathcal{G}$ . In this case,  $\text{exp}(\varphi) \geq 1 + 2y/x$  while  $\text{con}(\varphi)$  may be at most  $1 + y/x$ . However, if  $A_i$  corresponds to vertex  $v_i$ , by adding the edge  $(v_j, v_i)$ , we have a disjoint cycle cover, contradicting the absence of a loose cycle cover. Second,  $B_i$  and at most two other non-spare size gadgets  $B_k$  and  $B_\ell$  in  $\mathcal{D}$  are mapped from size gadgets in  $\mathcal{S}$  that correspond to successors  $v_i, v_k$  and  $v_\ell$  of  $v_j$ , and every other size gadget maps correctly w.r.t. the successor relationship in  $\mathcal{G}$ . In this case,  $\text{con}(\varphi) \geq 1 + 2y/x$  while  $\text{exp}(\varphi)$  may be at most  $1 + y/x$ . The successor of  $v_j$  is well-defined in this case, but  $v_k$  and  $v_\ell$  may not be successors of the  $c_\ell$  nodes in  $\mathcal{S}$  mapped to the  $c_\ell$  nodes of  $B_k$  and  $B_\ell$ . If those nodes are  $v_s$  and  $v_t$ , by adding edges  $(v_s, v_k)$  and  $(v_t, v_\ell)$ , we have a disjoint cycle cover, again contradicting the absence of a loose cover.  $\square$

### 3.2 Hardness for Weighted Trees

We first consider general weighted trees with unbounded degree and then modify the reduction so that exactly one node in both  $\mathcal{S}$  and  $\mathcal{D}$  has non-constant degree. Let  $\varphi$  be an embedding of  $\mathcal{S}$  into  $\mathcal{D}$ . We begin by showing that for suitably weighted  $\mathcal{S}$  and  $\mathcal{D}$ , the distortion is large if  $\varphi$  does not map size gadgets correctly.

**Lemma 10.** *If  $s(1) > n$  and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then  $\text{dist}(\varphi) \geq x \cdot \min\{x, z\}$ .*

*Proof.* Suppose  $\text{exp}(\varphi) < \min\{x, z\}$ . For  $i \in [n]$ ,  $s(i) \geq s(1) > n$ . Since the center gadgets have only  $n + 1$  nodes, every size gadget in  $\mathcal{S}$  must have at least one node that  $\varphi$  maps to a size gadget in  $\mathcal{D}$ . Recall that all edges within size gadgets in  $\mathcal{S}$  have weight 1 while every edge going out of size gadgets in  $\mathcal{D}$  has weight  $\min\{x, z\}$ . To keep  $\text{exp}(\varphi) < \min\{x, z\}$ , every node of any size gadget in  $\mathcal{S}$  must map within a single size gadget in  $\mathcal{D}$ . Since for all  $i \in [n]$ ,  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of  $S_i$  and  $D_i$  gadgets, respectively, this can happen only if every  $S_i$  gadget fully maps to a  $D_i$  gadget. A similar argument shows that  $\text{exp}(\varphi^{-1}) < x$  only if every  $D_i$  gadget fully maps to an  $S_i$  gadget.  $\square$

**Theorem 11.** *For  $\alpha \geq 1$ , it is NP-hard to approximate the distortion between two trees with weight ratio  $O(\alpha^2)$  within a factor less than  $1 + \alpha$ .*

*Proof.* Let  $\mathcal{G}$ ,  $\mathcal{S}$ , and  $\mathcal{D}$  be as in Section 3.1 with  $x = \alpha + 1$ ,  $y = \alpha(\alpha + 1)/2$ ,  $z = x + y = (\alpha + 1)(\alpha + 2)/2$ , and  $s(i) = i + n$  for  $i \in [n]$ . The weight ratio of  $\{\mathcal{S}, \mathcal{D}\}$  is  $(\alpha + 1)(\alpha + 2)/2$ . If  $\mathcal{G}$  has a disjoint cycle cover then by Lemma 6  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq 1 + 2y/x = 1 + \alpha$ . If  $\mathcal{G}$  does not have a loose disjoint cycle cover then by Lemmas 9 and 10,  $\text{dist}(\mathcal{S}, \mathcal{D}) \geq \min\{x \cdot \min\{x, z\}, (1 + 2y/x)^2\}$ , which is  $(1 + \alpha)^2$ . The result follows from Lemma 4.  $\square$

Let  $N$  be the number of nodes in  $\mathcal{S}$  (and  $\mathcal{D}$ ). In the above construction,  $N = \Theta(n^2)$ . The  $c_r$  nodes of  $\mathcal{S}$  and  $\mathcal{D}$  have degrees  $n$  and  $3n$ , respectively, which is  $\Theta(\sqrt{N})$ . The  $c_\ell$  nodes have degrees 4 and 2, respectively. The  $g_r$  nodes have degrees between  $n = \Theta(\sqrt{N})$  and  $2n$ , while the  $g_\ell$  nodes have degree 1. We strengthen the above result by describing a modification to the construction of  $\mathcal{S}$  and  $\mathcal{D}$  that allows only their  $c_r$  nodes to have degree  $> 4$ .

**Theorem 12.** *For  $0 < \epsilon \leq 1/2$  and  $\alpha \geq 1$ , it is NP-Hard to approximate the distortion between two trees with  $N$  nodes, weight ratio  $\Omega(\alpha^2)$ , exactly one node of degree  $\Theta(N^\epsilon)$ , and all other nodes of degree  $\leq 4$  within a factor less than  $1 + \alpha$ .*

*Proof.* First assume that  $\epsilon = 1/2$ . Replace each  $S_i$  gadget, which was a depth one tree with  $s(i) = i + n$  leaves, with a new  $S'_i$  gadget which is a line graph on  $i + n + 1$  nodes. Replace each  $D_i$  gadget with a new  $D'_i$  gadget in a similar fashion. Everything else remains the same. This clearly satisfies the degree requirement on graphs in the statement of the Theorem. Further, it is easy to see that Proposition 7 and Lemmas 9 and 10 still hold. It follows that the approximation factor guarantee of Theorem 11 applies for these modified trees as well.

For  $0 < \epsilon < 1/2$ , all we need to do is increase the number of nodes in each size gadget. Let  $p = 1/\epsilon$  and  $s(i) = i + n + n^{p-1}$ . Now both  $\mathcal{S}$  and  $\mathcal{D}$  have  $N = \Theta(n^p)$  nodes, have one node of degree  $\Theta(n = N^{1/p})$ , and have all other nodes of degree  $\leq 4$ . The approximation factor guarantee does not change.  $\square$

### 3.3 Hardness for Unweighted Trees

The construction from Section 3.1 needs slight modification in order to obtain hardness results for the unweighted case. Let  $\mathcal{G}$ ,  $\mathcal{S}$ , and  $\mathcal{D}$  be as in Section 3.1 with  $x = y = z = 1$  and  $s(i) = 2c \cdot (f(i) + 2n^5)$ , where  $c = 4n + 2$  and  $f$  is a strictly increasing 3-way sum-free sequence of size  $n$  in  $[n^5]$  guaranteed by Lemma 5. These parameters imply six useful properties of  $s$ , namely,  $s(\cdot)$  is even,  $s(\cdot)$  is a multiple of  $c$ ,  $2s(1) \gg s(n)$ ,  $2s(n) < 3s(1)$ ,  $|s(i) - s(j)|$  is large for  $i \neq j$ , and  $s(1), s(2), \dots, s(n)$  is a strictly increasing 3-way sum-free sequence. Furthermore, we have that  $c > |\text{Edges}(\mathcal{G})| = 3n$ . We will repeatedly use the fact that  $\mathcal{S}$  and  $\mathcal{D}$  each have  $n + 1$  center gadget nodes and  $3n$   $g_r$  nodes.

The only change to the construction is to modify the non-spare size gadgets in  $\mathcal{D}$ . Instead of being depth one trees with  $s(i)$  leaves, they are now depth two trees with  $s(i)/2$  nodes at depth one, each of which has a single depth two leaf. The root and depth one nodes are denoted by  $g_r$  and  $g_\ell$  as before, the depth two leaves are denoted by  $g'_\ell$ , and the depth one and two nodes are together denoted by  $\overline{g}_\ell$ . All other notation is unchanged. Like the original construction, both  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of nodes and for each  $S_i$  gadget there is a corresponding  $D_i$  gadget with the same number of nodes.

Let  $\varphi$  be any embedding of  $\mathcal{S}$  into  $\mathcal{D}$ . We will prove the following lemmas in the rest of this section using Propositions 16 and 18, respectively.

**Lemma 13.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then  $\text{exp}(\varphi) \geq 3$ .*

**Lemma 14.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then either  $\text{con}(\varphi) \geq 3$  or  $\text{exp}(\varphi) \geq 5$ .*

**Theorem 15.** *It is NP-Hard to approximate the distortion between two unweighted trees within a factor less than  $9/4$ .*

*Proof.* If  $\mathcal{G}$  has a disjoint cycle cover then by an argument similar to Lemma 6,  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq 4$ . Assume that  $\mathcal{G}$  does not have a loose disjoint cycle cover (and hence no disjoint cycle cover either). If  $\varphi$  fully maps every  $S_i$  gadget to a  $D_i$  gadget then by an argument similar to Lemma 9,  $\text{dist}(\varphi) \geq 9$ . If it does not then Lemmas 3, 13, and 14 imply  $\text{dist}(\varphi) \geq 9$ . The result follows from Lemma 4.  $\square$

**Proposition 16.** *If any of the following fail,  $\text{exp}(\varphi) \geq 3$ .*

1. No size gadget in  $\mathcal{S}$  maps to the  $\overline{g}_\ell$  nodes of two distinct size gadgets in  $\mathcal{D}$ .
2. Nodes of no two size gadgets in  $\mathcal{S}$  are both mapped to the  $\overline{g}_\ell$  nodes of a single size gadget in  $\mathcal{D}$ .
3. No node of an  $S_i$  gadget maps to a  $\overline{g}_\ell$  node of a  $D_j$  gadget for  $j \neq i$ .



4. The  $g_r$  node of any  $S_i$  gadget  $A$  maps within the unique  $D_i$  gadget  $B$  whose  $\overline{g}_\ell$  nodes  $A$  maps to, or possibly to the  $c_r$  node of  $\mathcal{D}$  if  $B$  is a spare gadget.
5. The  $c_r$  node of  $\mathcal{S}$  is not mapped to a non-spare gadget or the  $g_\ell$  nodes of a spare gadget in  $\mathcal{D}$ .
6. No  $c_\ell$  node in  $\mathcal{S}$  is mapped to a non-spare gadget or the  $g_\ell$  nodes of a spare gadget in  $\mathcal{D}$ .

*Proof.* Suppose (1) fails and a size gadget  $A$  in  $\mathcal{S}$  maps to the  $\overline{g}_\ell$  nodes of two distinct size gadgets  $B$  and  $C$  in  $\mathcal{D}$ . Any  $\overline{g}_\ell$  node of  $B$  is at least distance 5 away from any  $\overline{g}_\ell$  node of  $C$ , while all nodes in  $A$  are within distance 2 of each other. Hence,  $\exp(\varphi) \geq 5/2$ . By Corollary 2,  $\exp(\varphi) \geq 3$ .

Suppose (2) fails with an  $S_i$  gadget  $A$  and an  $S_k$  gadget  $C$  mapping to the  $\overline{g}_\ell$  nodes of a single  $D_j$  gadget  $B$ .  $A$  and  $C$  together have at least  $s(i) + s(k) - s(j) \geq 2s(1) - s(n) \geq 2c(2 + n^5)$  nodes mapped outside  $B$ . Since there are only  $4n + 1$  non- $\overline{g}_\ell$  nodes in  $\mathcal{D}$  ( $n + 1$  in the center gadget and  $3n$   $g_r$  nodes), a node of  $A$  or  $C$  must be mapped to a  $\overline{g}_\ell$  node of a size gadget in  $\mathcal{D}$  other than  $B$ . This violates (1).

To see (3), suppose that an  $S_i$  gadget  $A$  maps to a  $\overline{g}_\ell$  node of a  $D_j$  gadget  $B$  for  $j \neq i$ . If  $j < i$ , by our choice of  $s(\cdot)$ , at least  $2c$  nodes of  $A$  are mapped outside  $B$ . However, there are only  $4n + 1 < 2c$  non- $\overline{g}_\ell$  nodes in  $\mathcal{D}$ . Therefore, a node of  $A$  must be mapped to a  $\overline{g}_\ell$  node of a size gadget in  $\mathcal{D}$  other than  $B$ . This violates (1). If on the other hand  $j > i$ , a  $D_j$  gadget has at least  $c$  more  $\overline{g}_\ell$  nodes than the number of  $g_\ell$  nodes of an  $S_i$  gadget and there are only  $4n + 1 < c$  non- $\overline{g}_\ell$  nodes in  $\mathcal{S}$ . Therefore, a node of a size gadget  $A' \neq A$  of  $\mathcal{S}$  must also be mapped to a  $\overline{g}_\ell$  node of  $B$ , violating (2).

(4) when  $B$  is a spare gadget follows immediately from (1) and (3). If  $B$  is a non-spare  $S_i$  gadget, (3) implies that  $A$  must be a  $D_i$  gadget. Further, we claim that  $A$  must have a node that maps to a  $g'_\ell$  node of  $B$ , from which (4) follows. To see this, recall that  $A$  has  $s(i)/2 + 1 > 4n + 1$  more nodes than the  $g_\ell$  nodes of  $B$ . Now use (3) and the fact that  $\mathcal{D}$  has only  $4n + 1$  non- $\overline{g}_\ell$  nodes.

Suppose (5) fails with the  $c_r$  node of  $\mathcal{S}$  mapping to node  $u$  of a non-spare gadget  $B$  in  $\mathcal{D}$ . To maintain  $\exp(\varphi^{-1}) \leq 2$ , all  $n$  of the  $c_\ell$  nodes in  $\mathcal{S}$  must be mapped to nodes within distance 2 of  $u$  in  $\mathcal{D}$ . This in particular means that at least  $n - 2$  of the  $c_\ell$  nodes in  $\mathcal{S}$  are mapped to  $\overline{g}_\ell$  nodes of  $B$ . The  $g_r$  nodes of the corresponding size gadgets, at least  $3(n - 2)$  of them, must then be mapped within distance 2 of the  $\overline{g}_\ell$  nodes of  $B$ , violating (4) and resulting in  $\exp(\varphi) \geq 3$ . The case when the  $c_r$  node of  $\mathcal{S}$  maps to a  $g_\ell$  node of a spare gadget in  $\mathcal{D}$  is similar.

Suppose (6) fails with a  $c_\ell$  node in  $\mathcal{S}$  labeled  $v_i$  mapping to node  $u$  of a non-spare gadget in  $\mathcal{D}$ . Let  $v_j, v_k$ , and  $v_\ell$  be the successors of  $v_i$  in the graph  $\mathcal{G}$ . To ensure  $\exp(\varphi) \leq 2$ , the  $g_r$  nodes of the three  $S_j, S_k$ , and  $S_\ell$  gadgets adjacent to the  $c_\ell$  node in  $\mathcal{S}$  labeled  $v_i$  must be mapped to nodes within distance 2 of  $u$  in  $\mathcal{D}$ . By (4), however, at most two  $g_r$  nodes can be mapped amongst nodes that are within distance 2 of  $u$ , causing  $\exp(\varphi) \geq 3$ . The case when a  $c_\ell$  node of  $\mathcal{S}$  maps to a  $g_\ell$  node of a spare gadget in  $\mathcal{D}$  is similar.  $\square$

*Proof of Lemma 13.* By Proposition 16 (1), (4), (5), and (6), no node other than that of a unique  $S_i$  gadget can be mapped to any non-spare  $D_i$  gadget or the  $g_\ell$  nodes of a spare  $D_i$  gadget. It follows that all non-spare gadgets are fully mapped. We further claim that all  $c_\ell$  nodes of  $\mathcal{S}$  are mapped to  $c_\ell$  nodes of  $\mathcal{D}$ , in which case the proof is complete by Lemma 8. The claim holds because of the following. Observe that since all non-spare gadgets are fully mapped, all  $c_\ell$  nodes of  $\mathcal{S}$  must map within the center gadget of  $\mathcal{D}$  to ensure  $\exp(\varphi) \leq 2$ . Further, by the assumption in the lemma, at least one spare gadget  $B$  is partially mapped from a gadget  $A$  in  $\mathcal{S}$ . By (4), the  $g_r$  node of  $A$  must map to the  $c_r$  node of  $\mathcal{D}$ , making the latter unavailable for the  $c_\ell$  nodes of  $\mathcal{S}$ .  $\square$

We begin the contraction argument by stating a straightforward but crucial property of the  $g'_\ell$  nodes of the size gadgets in  $\mathcal{D}$ .

**Observation 17.** *If a  $g'_\ell$  node of a size gadget  $B$  in  $\mathcal{D}$  does not have as its image under  $\varphi^{-1}$  in  $\mathcal{S}$  a node with neighbors only those nodes that are images of nodes of  $B$ , the  $c_\ell$  node attached to  $B$ , or the  $c_r$  node of  $\mathcal{D}$ , then  $\exp(\varphi) \geq 5$ .*

*Proof.* Let  $x$  be the  $g'_\ell$  node in question, and let  $u$  be a neighbor of  $\varphi^{-1}(x)$  that is not an image of a node as listed above. Then by examining the construction we see that the distance between  $x$  and  $\varphi(u)$  is at least 5.  $\square$

Define the *successor cluster*  $X$  corresponding to a vertex  $v$  of  $\mathcal{G}$  to be the  $c_\ell$  node  $u$  of  $\mathcal{S}$  corresponding to  $v$  and the three size gadgets  $A_{i_X}, A_{j_X}$ , and  $A_{k_X}$  attached to it. Let  $Q_X^\varphi \subseteq \{1, \dots, n\}$  be the *multi-set* defined by  $Q_X^\varphi = \{r \mid$

some non- $g_\ell$  node of a  $D_r$  gadget maps under  $\varphi^{-1}$  to a non- $c_\ell$  node of  $X$ . The multiplicity of  $r$  in  $Q_X^\varphi$  is the number of  $D_r$ 's that map in this way to  $X$ . Since the number of center gadget nodes in  $\mathcal{D}$  is only  $n + 1$ ,  $s_X^\varphi = \sum_{r \in Q_X^\varphi} s(r)$  can be less than  $s_X = s(i_X) + s(j_X) + s(k_X)$  by at most  $n + 1$ . However, since  $s_X^\varphi$  and  $s_X$  are both multiples of  $c > n + 1$ ,  $s_X^\varphi \geq s_X$ .

**Proposition 18.** *If any of the following fail,  $\text{con}(\varphi) \geq 3$  or  $\text{exp}(\varphi) \geq 5$ .*

1.  $Q_X^\varphi = \{i_X, j_X, k_X\}$ .
2. The  $g_r$  node of any  $D_i$  gadget  $B$  is mapped within the unique successor cluster  $X$  to which  $B$ 's non- $g_\ell$  nodes map.
3. The  $c_r$  node of  $\mathcal{D}$  maps to the  $c_r$  node of  $\mathcal{S}$ .
4. The  $g_\ell$  nodes of  $\mathcal{S}$  are occupied only by the size gadget nodes of  $\mathcal{D}$ .
5. If a  $c_\ell$  node of  $\mathcal{D}$  is mapped to a node of a successor cluster  $X$ , then nodes from exactly three size gadgets of  $\mathcal{D}$  map into  $X$ . ( $X$  may have other  $c_\ell$  nodes of  $\mathcal{D}$  mapped into it as well.)
6. If a  $c_\ell$  node of  $\mathcal{D}$  is mapped to the  $c_\ell$  node of a successor cluster  $X$ , then three size gadgets of  $\mathcal{D}$  fully map to the non- $c_\ell$  nodes of  $X$ .
7. If no  $c_\ell$  node of  $\mathcal{D}$  is mapped to a node of a successor cluster  $X$ , then nodes from exactly three size gadgets of  $\mathcal{D}$  map into  $X$  and the  $c_\ell$  node of  $X$  is occupied by a node from a fourth size gadget of  $\mathcal{D}$ .
8. Every successor cluster in  $\mathcal{S}$  is fully mapped from exactly one  $c_\ell$  node and three size gadgets of  $\mathcal{D}$ .
9. If a  $c_\ell$  node  $v$  in  $\mathcal{D}$  is mapped to a successor cluster  $X$ , then the root  $r$  of the size gadget  $B$  attached to  $v$  is mapped to  $X$ .

*Proof.* In this proof unless mentioned otherwise the mapping under consideration is  $\varphi^{-1}$ .

To prove (1) first note that  $|Q_X^\varphi| \geq 3$  as  $2s(n) < 3s(1)$  by the choice of  $s(\cdot)$  while  $s_X^\varphi \geq s_X \geq 3s(1)$ . Suppose  $Q_X^\varphi \neq \{i_X, j_X, k_X\}$  (this includes the case  $|Q_X^\varphi| \geq 4$ ). Let  $\mathcal{Z}$  be the set of size gadgets of  $\mathcal{D}$  that are indexed by  $Q_X^\varphi$ . By the choice of  $s(\cdot)$ ,  $s_X^\varphi$  exceeds  $s_X$  by at least  $2c$ . Since there are only  $4n + 1$  non- $g_\ell$  nodes in  $\mathcal{S}$ ,  $c' \geq 2c - (4n + 1) = 4n + 3$  nodes of size gadgets in  $\mathcal{Z}$  must map to  $g_\ell$  nodes of  $\mathcal{S} \setminus X$ . Call the set of these nodes  $\mathcal{Y}$ . If any node in  $\mathcal{Y}$  is a  $g_\ell$  node of  $\mathcal{D}$ , then  $\text{exp}(\varphi^{-1}) \geq 5/2$  because of any  $g_\ell$  node of the corresponding gadget  $B$  that witnesses the membership of  $B$  in  $\mathcal{Z}$ . If all nodes in  $\mathcal{Y}$  are  $g_\ell$  nodes of  $\mathcal{D}$ , then consider the  $c'$  corresponding  $g_\ell$  nodes that nodes in  $\mathcal{Y}$  are attached to (note that all of these have to be in  $\mathcal{Z}$  as otherwise the argument we just made works). At most  $4n + 1$  of these can map to non- $g_\ell$  nodes of  $\mathcal{S}$ . The  $c' - (4n + 1) \geq 2$  remaining nodes must map to the  $g_\ell$  nodes of  $X$ , resulting in  $\text{exp}(\varphi^{-1}) \geq 6$ .

Consider (2). (1) implies that all but at most 3  $g_\ell$  nodes of  $B$  map to  $g_\ell$  nodes of  $X$ . Hence the  $g_r$  node of  $B$  must also map within  $X$  to ensure  $\text{exp}(\varphi^{-1}) \leq 2$ .

To see (3), suppose the  $c_r$  node of  $\mathcal{D}$  is mapped to a node  $u$  of successor cluster  $X$ . To achieve  $\text{exp}(\varphi^{-1}) \leq 2$ , all  $2n$  of the  $g_r$  nodes of spare gadgets in  $\mathcal{D}$  must map within distance 2 of  $u$  in  $\mathcal{S}$ . This in particular means that at least  $n$  of them are mapped to nodes of  $X$ . This violates (1) and (2).

(4) follows from (3) by noting that the  $c_\ell$  nodes need to map within distance 2 of the  $c_r$  node.

If (5) fails, let  $v$  be a  $c_\ell$  node of  $\mathcal{D}$  that is mapped to a node  $u$  of  $X$ . By (4),  $u$  is either a  $c_\ell$  node or a  $g_r$  node. Suppose first that it is a  $c_\ell$  node. Since (5) fails, there is a size gadget  $B$  in  $\mathcal{D}$  that has a node mapping to  $X$  and another adjacent node mapping outside  $X$ . Then  $B$  contains two nodes that are mapped at least distance 3 apart because they cannot map to the  $c_\ell$  node of  $X$  or to the  $c_r$  node of  $\mathcal{S}$ .

Suppose on the other hand that  $u$  is the  $g_r$  node of size gadget  $A$  in  $X$ . Consider the set  $\mathcal{Z}$  of size gadgets in  $\mathcal{D}$  that have a node mapping to a  $g_\ell$  node of  $A$ . Since the size of each gadget in  $\mathcal{Z}$  is  $1 \bmod c$ , the number of  $g_\ell$  nodes of  $A$  is  $0 \bmod c$ , and  $|\mathcal{Z}| \leq |\text{Edges}(\mathcal{G})| = 3n < c$ , there exists a size gadget  $B \in \mathcal{Z}$  that also maps outside  $A$ . In particular,  $B$  must have a node mapped to the  $c_\ell$  node of  $X$  which is the only node of  $\mathcal{S}$  outside  $A$  within distance 2 of the  $g_\ell$  nodes of  $A$ . Since by (4) the  $c_r$  node of  $\mathcal{S}$  is already occupied by the  $c_r$  node of  $\mathcal{D}$ , no size gadget (other than possibly  $B$ ) mapping to a node outside  $X$  can also map within  $X$  without causing  $\text{exp}(\varphi^{-1}) \geq 3$ . (5) now follows from (1).



If (6) fails then there exists a size gadget  $B$  of  $\mathcal{D}$  that has a node (say  $x$ ) that maps to  $X$  and one of its neighbors (say  $y$ ) that maps to  $\mathcal{S} \setminus X$ . Since the  $c_\ell$  node of  $X$  and the  $c_r$  node are already occupied,  $\varphi^{-1}$  expands the edge  $(x, y)$  by at least 3. Otherwise by the choice of  $s(\cdot)$ , the three size gadgets in  $\mathcal{D}$  that map to the  $g_\ell$  nodes of  $X$  (which are guaranteed by (5)) must then fully map to the non- $c_\ell$  nodes of  $X$ .

If (7) fails, let  $B_1, B_2$ , and  $B_3$  be the three size gadgets in  $\mathcal{D}$  that have nodes mapping to the  $g_\ell$  nodes of  $X$  and some node of any of these gadgets is mapped to the  $c_\ell$  node of  $X$ . Now no node of a fourth size gadget in  $\mathcal{D}$  can be mapped to a node of  $X$  unless  $\exp(\varphi^{-1}) \geq 3$  (due to (3)). Hence  $B_1, B_2$ , and  $B_3$  fully map to the  $g_r$  and  $g_\ell$  nodes of  $X$ .

For (8), suppose that two  $c_\ell$  nodes  $v_1$  and  $v_2$  in  $\mathcal{D}$  are mapped to a single successor cluster  $X$ . By (4) and (6),  $v_1$  and  $v_2$  must map to the  $g_r$  nodes  $r_1$  and  $r_2$  of gadgets  $A_1$  and  $A_2$ , respectively, of  $X$  in  $\mathcal{S}$ . Consider as in the argument for (5) the set  $\mathcal{Z}_1$  of size gadgets in  $\mathcal{D}$  that have a node mapping to a  $g_\ell$  node of  $A_1$ . Since the size of each gadgets in  $\mathcal{Z}_1$  is  $1 \bmod c$ , the number of  $g_\ell$  nodes of  $A_1$  are  $0 \bmod c$ ,  $|\mathcal{Z}_1| \leq |\text{Edges}(\mathcal{G})| = 3n < c$ , there exists a size gadget  $B_1 \in \mathcal{Z}_1$  that also maps outside  $A_1$ . In particular, for  $\exp(\varphi^{-1}) \leq 2$ , a node of  $B_1$  must be mapped to the  $c_\ell$  node of  $X$  as well. Define  $\mathcal{Z}_2$  and  $B_2$  similarly for  $A_2$ . An identical argument shows that a node of  $B_2$  is mapped to the  $c_\ell$  node of  $X$  as well. Hence  $B_1$  and  $B_2$  are identical. Thus  $B = B_1 = B_2$  is a gadget that maps to  $c_\ell$  nodes of both  $A_1$  and  $A_2$ , which means  $B$  maps adjacent to both  $v_1$  and  $v_2$ . This by Observation 17 implies  $\exp(\varphi) \geq 5$ .

Suppose in violation of (9) a  $c_\ell$  node  $v$  in  $\mathcal{D}$  is mapped to a successor cluster  $X$  and the root  $r$  of the size gadget  $B$  attached to  $v$  is mapped to a successor cluster  $Y \neq X$ . Assume  $\exp(\varphi^{-1}) < 3$ . Thus,  $v$  must map to the  $c_\ell$  node of  $X$  and  $r$  to the  $c_\ell$  node of  $Y$ .  $B$  maps some of its  $g'_\ell$  nodes to  $g_\ell$  nodes of a gadget  $A$  of  $Y$ , otherwise a  $g_\ell$  node of  $B$  is far from a  $g'_\ell$  node of  $B$ , or Observation 17 is violated. Further, as  $r$  is mapped to the  $c_\ell$  of  $Y$ , all of  $B$  must map into  $A$ . However, the number of nodes in  $A$  is  $1 \bmod c$  while the number of  $\overline{g}_\ell$  nodes of  $B$  is  $0 \bmod c$  which implies that a node of some other size gadget  $C$  of  $\mathcal{D}$  must map to the  $g_r$  node of  $A$  (a node of  $C$  cannot map to a  $g_\ell$  node of  $A$  as then  $(\varphi^{-1}) \geq 3$ ) which by Observation 17 implies  $\exp(\varphi) \geq 5$ .  $\square$

*Proof of Lemma 14.* From Proposition 18 (8) and (9), any non-spare size gadget in  $\mathcal{D}$  and the  $c_\ell$  node of  $\mathcal{D}$  it is attached to must map within the same successor cluster in  $\mathcal{S}$  under  $\varphi^{-1}$ . Consequently, Proposition 18 (8) can be strengthened to say that every successor cluster in  $\mathcal{S}$  corresponding to a node  $v$  is fully mapped from exactly one  $c_\ell$  node in  $\mathcal{D}$ , the size gadget  $B$  attached to it, and two spare size gadgets. As  $s(\cdot)$  is sum-free,  $B$  must correspond to a successor of  $v$ . Since there are exactly  $n$   $c_\ell$  nodes in  $\mathcal{D}$ , this assigns a unique successor to each node  $v$ , establishing a disjoint cycle and the contradiction which proves the lemma.  $\square$

## 4 Hardness of Embeddings Between Line Graphs with Large Weights

A *line graph* is an acyclic connected graph of maximum degree two, that is, a line of vertices.

**Theorem 19.** *Given two line graphs with  $n$  nodes and weight ratio  $\Omega(b^2)$ , for any  $k > 1$  and  $b$  with  $b = \Omega(kn^2)$ , it is NP-hard to determine if the distortion between them is less than  $b/k$  or at least  $b$ .*

*Proof.* As with previous results, our reduction is from the directed disjoint cycle cover problem, where we assume the input graph  $\mathcal{G}$  has outdegree = 3. The construction is similar as well in that we have size gadgets related to the successors of each vertex, which are intended to map to a spare or non-spare gadget. Because of the simplified topology of a line, we must use large edge weights in place of organizing gadgets around a tree.

Let  $v_1, \dots, v_n$  be the vertices of  $\mathcal{G}$ . As before, the source line graph  $\mathcal{S}$  will be an encoding of  $\mathcal{G}$  and the destination line graph  $\mathcal{D}$  will be constructed so that an embedding of  $\mathcal{S}$  into  $\mathcal{D}$  of small distortion will encode a unique successor for each  $v_i$ . This is equivalent to finding a disjoint cycle cover of  $\mathcal{G}$ .

The construction is parametrized by  $a, b, c$ , and  $d$ , which will be specified later. Referring to Fig. 2 may improve the read of the following paragraphs. The construction has  $n$  types of *size gadgets* which are used in both  $\mathcal{S}$  and  $\mathcal{D}$ . A size gadget of type  $i$ , denoted  $T_i$ , is a line graph with  $i + 1$  nodes connected by edges of weight  $1/b$ .  $b$  will be chosen so that mapping an  $T_i$  gadget in  $\mathcal{S}$  to a  $T_j$  gadget in  $\mathcal{D}$  will result in expansion at least  $b$  if  $i \neq j$ .

The source graph  $\mathcal{S}$  is constructed as follows. Size gadgets are arranged with nodes corresponding to each vertex  $v_i$  to form an *edge-selection* gadget. If vertex  $v_i$  has successors  $v_{j_1}, v_{j_2}$ , and  $v_{j_3}$ , the edge-selection gadget  $E_i$  associated with  $v_i$  contains a copy of size gadgets  $T_{j_1}, T_{j_2}$ , and  $T_{j_3}$  attached together in a line by edges of length  $c$ , followed by a

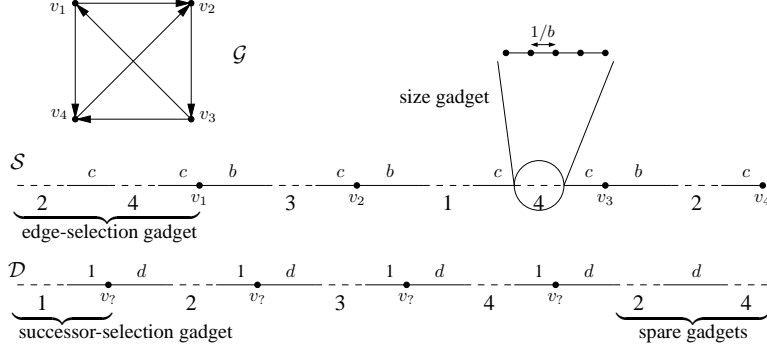


Figure 2: A sample construction of line graphs  $\mathcal{S}$  and  $\mathcal{D}$  from input graph  $\mathcal{G}$ .

vertex representing  $v_i$ , also attached with an edge of length  $c$ . The edge-selection gadgets are separated by an edge of length  $b$ . Let  $\mathcal{P}$  be the multi-set  $\{i \mid \text{gadget } T_i \text{ is used in } \mathcal{S}\}$ . We may assume that  $\mathcal{P} \supseteq [n]$ , otherwise a disjoint cycle cover cannot exist.

To construct the destination graph  $\mathcal{D}$ , one copy of  $T_i, i \in [n]$ , is combined with a special vertex by an edge of length 1 to form  $n$  *successor-selection* gadgets  $S_i, i \in [n]$ . The successor-selection gadgets are arranged linearly, each separated by an edge of length  $d$ . After the last successor-selection gadget, a *spare size gadget* list appears, composed of size gadgets  $T_k$  for  $k \in \mathcal{P} \setminus [n]$ , each separated by an edge of length  $d$ . We now choose  $d$  so that the total length (diameter) of  $\mathcal{D}$  is  $a$ ; as  $\mathcal{G}$  has outdegree exactly three and indegree at most 4 we have that  $d \geq (a - n - 2n^2/b)/(3n)$ .

Choose the remaining parameters so that

$$\begin{aligned} \max\{1, 18n^2 \cdot k\} &< c \leq b/3 \\ 9(n^2/b + n) &\leq a < b/(3k) \end{aligned}$$

These parameters imply that  $d \geq a/(9n)$ . If  $b$  is chosen tightly, the weight ratio will be  $O(b/(1/b)) = O(k^2n^4)$ . The proof now follows from the following claims.

**Claim 20.** *If  $\mathcal{G}$  has a disjoint cycle cover then  $\text{dist}(\mathcal{S}, \mathcal{D}) < b/k$ .*

In the disjoint cycle cover, let  $v_{\pi(i)}$  be the successor of  $v_i$ . For each  $i \in [n]$ , map the  $T_{\pi(i)}$  size gadget  $A$  in the edge-selection gadget  $E_i$  to the size gadget in the successor-selection gadget  $S_{\pi(i)}$  with the vertex in  $\mathcal{S}$  for  $v_i$  mapping to the special vertex in  $S_{\pi(i)}$ . The remaining size gadgets in  $E_i$  map to their correspondents in the spare size gadgets.

In this case, expansion occurs by separating a size gadget from another size gadget within its edge-selector gadget, or separating it from its special vertex. In  $\mathcal{D}$ , the maximum distance is  $a$ , while in  $\mathcal{S}$  the distance between gadgets is  $c$ , giving an expansion of  $a/c$ . By Lemma 1, as no other edges are expanded, this gives the expansion for the embedding.

The contraction of this embedding is the maximum of the contraction between a size gadget and its vertex, and the contraction between two vertices. A size gadget is at most a distance  $3c$  from its vertex in  $\mathcal{S}$ , and ends up at least a distance 1 from its vertex in  $\mathcal{D}$ . Two vertices are at most distance  $(b + 3c)n$  in  $\mathcal{S}$ , and are at least distance  $d \geq a/(9n)$  in  $\mathcal{D}$ . Hence the contraction is at most

$$\max \left\{ 3c, \frac{(b + 3c)n}{a/(9n)} \right\} \leq \max \{ 3c, 18n^2b/a \}$$

as  $c < b/3$ . Combining the expansion and contraction, we have that the distortion is at most  $\max\{3a, 18n^2b/c\} < b/k$  by our choice of parameters. This proves the claim.

**Claim 21.** *If  $\mathcal{G}$  does not contain a disjoint cycle cover then  $\text{dist}(\mathcal{S}, \mathcal{D}) \geq b$ .*

As there does not exist a unique assignment of successors, the embedding must do one of the following two things. First, it may map a size gadget incorrectly. The two claims below shows this immediately leads to large distortion. Otherwise, if all the size gadgets are mapped correctly, then a vertex  $v_i$  in  $\mathcal{S}$  must be mapped to a special vertex in  $\mathcal{D}$

adjacent to a size gadget of type that does not appear in its edge-selection gadget in  $\mathcal{S}$ . In this case the contraction is at least  $b$ , as  $b$  is a lower bound on the distance between a vertex and any size gadget from a different edge-selection gadget, and 1 is the distance between a special vertex and its size gadget in a successor-selection gadget. As the size gadgets are mapped isometrically, expansion in this case is at least 1, so the total distortion is at least  $b$  as claimed.

**Claim 22.** *If a vertex is mapped to a size gadget point then  $\text{dist}(\mathcal{S}, \mathcal{D}) \geq b$ .*

Contraction is at least  $c/(1/b)$  as in  $\mathcal{S}$  a vertex is at least distance  $\min\{c, b\} = c$  from any other point, and in  $\mathcal{D}$  it will be distance  $1/b$  from the adjacent point in the size gadget it is mapped into. Now as the vertex is mapped into a size gadget, a pigeonhole argument says some size gadget points will be split up at some place in the embedding, leading to expansion at least  $1/(1/b)$ , as 1 is the minimum distance between non-size gadget points in  $\mathcal{D}$ . Thus the total distortion is at least  $cb^2 \geq b$  as  $b, c \geq 1$ .

**Claim 23.** *If a size gadget of type  $i$  is mapped to a size gadget of type  $j$ ,  $i \neq j$ , then distortion is at least  $b$ .*

By the previous claim we can assume that all size gadgets are mapped into other size gadgets. This implies we may assume without loss of generality that  $i > j$ , which means several points of the first size gadget overflow from the second. In this case at least two points originally separated by  $1/b$  of this size gadget are separated by at least 1 in  $\mathcal{D}$ , giving expansion at least  $b$ . As we have chosen  $a < b$ , at least one of the  $b$ -edges in  $\mathcal{S}$  must contract, giving contraction at least 1. Hence the distortion is at least  $b$  as claimed.  $\square$

**Corollary 24.** *For  $\alpha > 0$ , it is NP-hard to approximate the distortion between two line graphs with  $n$  nodes and weight ratio  $\Omega(\alpha^2 n^4)$  within a factor of  $\alpha$ .*

## 5 Conclusion

We have shown that the problem of finding a minimum distortion embedding between two metrics is hard to approximate within constant factors on even extremely simple graphs, such as weighted lines or unweighted trees. While our constants improve previous results, we believe they are still far from the true story: it seems likely that even approximating distortion in unweighted graphs is much harder than what we know.

One natural relaxation to the graph embedding problem is to find the distortion of embedding a constant fraction of one graph to another. While this quantity will in general be far from the true distortion, it may provide a good enough measure of graph difference for certain applications. Other notions of distortion may also be useful. Rabinovich [18] has used average distortion to study the MinCut-MaxFlow gap in uniform-demand multicommodity flow. Other possibly interesting measures are max-distortion, which is the maximum of expansion and contraction rather than the product, and Gromov-Hausdorff distance, which has applications in analysis. The problem remains open in all these scenarios.

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